

J.P. Morgan Securities LLC Member FINRA and SIPC

You can submit this form and any attachments by:

Secure Message

For instructions on how to submit this request by Secure Message, visit www.chase.com/brokerageforms.

Fax

(800) 805-3909

Mail

J.P. Morgan Securities LLC
Attn: Retirement - WM
Mail Code: IL1-0291
10 S. Dearborn St.
Chicago, IL 60603

If you need help, contact our Client Service Center at (800) 392-5749 or submit your question by Secure Message on chase.com.

Use this form to

- Establish power of attorney on a brokerage account.

What you need to know

- Along with this form, you will also need to submit a durable Power of Attorney agreement.
- This *Certification of Agent Regarding Power of Attorney* form is required to be completed on or after the date of the *Power of Attorney* document.
- The Power of Attorney cannot be an employee of JPMorgan Chase & Co. or an affiliate unless permitted as an exception under the company's policy.
- For your protection, a representative may call you to confirm this transaction before it is processed.
- For best results, complete this form using Adobe Reader. You will need to print a copy for your signature.
- Keep a copy of this request for your records.

1. Tell Us About The Account

Account Holder Name(s)

Account Number

2. Tell Us About the Authorized Agent

Authorized Agent Name

Social Security or Tax ID Number

Date of Birth (month/day/year)

Agent Telephone Number

Citizenship Status (select one)

☐ U.S. citizen

☐ Resident alien

Country of Citizenship

Legal/Residential Address (no PO Box or "In care of" address)

City

State

ZIP Code

☐ The Authorized Agent's Mailing Address is the same as their Legal/Residential Address (if selected, it is not necessary to provide the Mailing Address below).

Mailing Address

City

State

ZIP Code

Optional duplicate mailing instructions. Select all that apply.

☐ Mail duplicate **trading confirmations** to the Authorized Agent.

☐ Mail duplicate **account statements** to the Authorized Agent.

INVESTMENT AND INSURANCE PRODUCTS ARE:

- NOT FDIC INSURED • NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY
- NOT A DEPOSIT OR OTHER OBLIGATION OF, OR GUARANTEED BY, JPMORGAN CHASE BANK, N.A. OR ANY OF ITS AFFILIATES
- SUBJECT TO INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED

3. Authorized Agent Identification Information

Identification Information

<input type="text"/>	<input type="text"/>	<input type="text"/>
ID Type	ID Issue Date (month/day/year)	ID Expiration Date (month/day/year)
<input type="text"/>		<input type="text"/>
ID State/Country		Identification Number

4. Authorized Agent Employment Information

- ☐ **Employed**
- ☐ **Self-employed**
- ☐ **Retired** (Please provide previous Occupation and Employer Name.)
- ☐ **Not employed** (Please provide previous Occupation and Employer Name.)

<input type="text"/>		
Occupation		
<input type="text"/>		
Employer Name		
<input type="text"/>		
Employer Mailing Address (no PO Box or "In care of" address)		
<input type="text"/>	<input type="text"/>	<input type="text"/>
City	State	ZIP Code

5. Affiliations Information

JPMS Affiliations

Is the Authorized Agent a spouse of, or financially supported by an employee of JPMorgan Chase & Co. or affiliate?

☐ No ☐ Yes

If yes, who is the affiliated person?

☐ ☐ Other

<input type="text"/>	<input type="text"/>	<input type="text"/>
Employee SID	J.P. Morgan Email Address	Employee Name

Is the Authorized Agent a securities/FINRA registered employee of JPMorgan Chase & Co. or affiliated, or associated with (spouse or supported by) a securities/FINRA registered employee of JPMorgan Chase & Co. or affiliated, or are otherwise required by JPMorgan Chase & Co. or affiliated to preclear trades in your brokerage account?

☐ No ☐ Yes

If you are filling this form out by hand, you will have to fill in the Authorized Agent's name. If you are filling out this form electronically, the Authorized Agent's name will automatically populate.

<input type="text"/>	<input type="text"/>
Account Holder's Name	Account Number

J.P. Morgan Securities LLC
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Is the Authorized Agent a employed by FINRA?
☐ No ☐ Yes; If yes, please provide the following information.

<input type="text"/>	<input type="text"/>	<input type="text"/>
Name of Person	Employer Name	Attention To/In care of:
<input type="text"/>	<input type="text"/>	<input type="text"/>
Employee Address Line 1	City	State
<input type="text"/>	<input type="text"/>	<input type="text"/>
Employee Address Line 2	Country	ZIP Code

Is the Authorized Agent an employed by, or a spouse of, or financially supported by an employee of a broker-dealer or FINRA member firm (other than JPMorgan Chase & Co. or affiliate), or an investment advisor?
☐ No ☐ Yes; If yes, please provide the following information.

<input type="text"/>	<input type="text"/>	<input type="text"/>
Name of Person	Employer Name	Attention To/In care of:
<input type="text"/>	<input type="text"/>	<input type="text"/>
Employee Address Line 1	City	State
<input type="text"/>	<input type="text"/>	<input type="text"/>
Employee Address Line 2	Country	ZIP Code

Is the Authorized Agent employed by, or a spouse of, or financially supported by an employee of a Futures Commission Merchant (FCM), municipal securities dealer or MSRB member firm, or ISE member firm (other than JPMorgan Chase & Co. affiliate), or otherwise required to provide us with written approval from your employer prior to opening a brokerage account?
☐ No ☐ Yes; If yes, please provide the following information.

<input type="text"/>	<input type="text"/>	<input type="text"/>
Name of Person	Employer Name	Attention To/In care of:
<input type="text"/>	<input type="text"/>	<input type="text"/>
Employee Address Line 1	City	State
<input type="text"/>	<input type="text"/>	<input type="text"/>
Employee Address Line 2	Country	ZIP Code

Insider Affiliations
Is the Authorized Agent or their spouse on the board of directors, a control person/executive officer, or at least a 10% shareholder/owner of a public (or publicly-traded) corporation?
☐ No ☐ Yes

If yes, who is the affiliated person?
☐ ☐ Other

If you are filling this form out by hand, you will have to fill in the Authorized Agent's name. If you are filling out this form electronically, the Authorized Agent's name will automatically populate.

Company Symbol	Company Name	Exchange Trade
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

<input type="text"/>	<input type="text"/>
Account Holder's Name	Account Number

J.P. Morgan Securities LLC
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6. Authorization

To induce J.P. Morgan Securities LLC (together with its affiliates, **JPMS**) to permit the Agent to act on behalf of the Principal, I sign below as the Agent certifying that the following statements are true and complete:

POWER OF ATTORNEY: The attached power of attorney (**Power**) is an original or a true and correct copy.

DURABLE: The attached Power is "durable," meaning that its effectiveness survives the subsequent disability or incompetence of the Principal.

EFFECTIVENESS: The Principal was legally competent when he/she executed the attached Power, is now alive and has never revoked, modified, amended or repudiated the attached Power, which remains in full force and effect. Revocation or termination of the Power shall be ineffective as to JPMS unless and until actual written notice of such revocation or termination or the death of the Principal is received by JPMS at the following address: J.P. Morgan Securities LLC, Attn: Account Processing, Mail Code: IL1-0291, 10 S. Dearborn St., Chicago, IL 60603.

GENERAL POWER; SCOPE: The purpose and effect of the attached Power is for the Principal to give the Agent broad and general authority over the Principal's securities and other financial assets (**Assets**), which may include the Agent's authority to: (a) open, maintain and close investment accounts in the name of the Principal, executing all associated documentation on the Principal's behalf; (b) make deposits and withdrawals from such accounts, and order the transfer of any securities, funds or other property to any name, including themselves and third parties; (c) buy, sell and trade Assets within such accounts; and (d) otherwise deal with the Principal's Assets in according with the Power and applicable state law.

RELiance; INDEMNITY: In consideration of JPMS' reliance on the Agent's position as a fiduciary for the Principal and the Agent's obligation to understand and abide by the scope of the authority granted by the attached Power, the Agent hereby agrees to indemnify and hold JPMS harmless against any and all claims that might arise against JPMS by reason of its reliance on this Certification and the associated Power or executing any instruction given by the Agent regarding the Principal's Assets.

7. Authorized Agent Signature

Authorized Agent Signature	Date (month/day/year)

8. Notary

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

<div style="display: flex; justify-content: space-between;"><div>STATE OF _____) COUNTY OF _____) Subscribed, sworn to and acknowledged before me by _____ _____ Name of Document Signer (above) this _____ day of _____, _____ Day Month Year _____ Signature of Notary Public</div><div style="text-align: right;">) SS:)</div></div>	<div style="border: 1px solid black; width: 100%; height: 100%; margin-bottom: 10px;">Place Stamp Here</div> <div>My commission expires _____</div>
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Account Holder's Name	Account Number

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Use the below lists of valid identification options for ID Validation.

U.S. Citizen

Primary ID

U.S. Driver's License (with photo)	Birth Certificate (minors only)	Social Security Card (minor/senior/disabled)*
U.S. State-issued ID (with photo)	U.S. Military/Veteran's ID (with photo)	U.S. Tribal or Bureau of Indian Affairs ID (with photo)
U.S. Passport (with photo)		

Resident Alien

Primary ID

Passport (with photo)	Matricula/Consular Card	Permanent Resident Card/Green Card (with photo)**
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* A Social Security card may only be used as a Primary ID if the client is a minor, senior or person with a disability.

** A Permanent Resident Card (Green Card) with photo must be used as the Primary ID if one has been issued. If one has not been issued, a Resident Alien may use a Matricula/Consular Card or Passport (with photo). Non-U.S. citizens without a Permanent Resident Card (green card) with photo must provide a Primary or Secondary ID displaying their current U.S. residential address. EXCEPTION: When the client provides a Passport as Primary ID and a Student INS Form I-20, ICE Form I-20 or DS-2019 as Secondary ID, the IDs are not required to display his/her current U.S. residential address.