

INSTRUCTIONS FOR UNREGISTERED GROUP MEMBERS

This document is for persons who are group members in the class action *Matthew Hall v Slater & Gordon (Hall Class Action)* but who have not registered with Maurice Blackburn to participate in the settlement of the proceedings (**Hall Settlement**) that was approved by the Court on 14 December 2017. The Court has appointed Maurice Blackburn as Settlement Administrator to implement the approved Settlement Distribution Scheme (**SDS**), through which the Settlement Distribution Fund will be distributed to group members.

Unregistered Group Members may still be eligible to receive a distribution under the SDS. If you wish to be eligible to receive a distribution, you must complete the attached **Registration Form** and **Participant Declaration** and return them to Maurice Blackburn by the **Participation Deadline**, which is **5.00PM AEDST on 23 February 2018**. **You will not be eligible to receive a distribution from the Settlement Distribution Fund if you do not return a completed Registration Form and Participant Declaration by the Participation Deadline.**

Steps you must take to participate in the Hall Settlement

1. On the Registration Form, write in your SGH share trading details on the table provided, or a table with a similar format. You must include the following information:
 - a. The number of SGH shares you held as at 30 March 2015;
 - b. Each acquisition or purchase of SGH shares between 30 March 2015 and 24 February 2016, listing the date of each transaction and the number of shares; and
 - c. Each disposal or sale of SGH shares between 30 March 2015 and 24 February 2016, listing the date of each transaction and the number of shares.
2. Please complete a separate Registration Form for each holding for which you are responsible. For instance, if you purchased SGH shares in your own name and in the name of your self-managed superannuation fund, you must complete a Registration Form for each.
3. Once you are satisfied that the information you have provided on Registration Form(s) is accurate, you are required to complete the Participant Declaration. The Participant Declaration is a statutory declaration that the information you have provided is true and accurate. The declaration then must be witnessed by an appropriate person (see below for a list).

Claimants not in Australia

If you are not in Australia, please provide a declaration:

- (i) which contains an acknowledgement that it is true and correct and is made in the belief that a person making a false declaration is liable for a penalty; and
 - (ii) for which, under the law of the place it is made, the person would be liable for a penalty if the person knowingly made a false declaration.
4. Return the Registration Form and Participant Declaration to Maurice Blackburn no later than 5.00pm, 23 February 2018, by email at SGHSettlement@mauriceblackburn.com.au or by mail to the address below:

SGH Settlement Administrator
Maurice Blackburn Lawyers
Level 21, 380 Latrobe Street
Melbourne VIC 3000

Notices of estimated distribution

5. Once we receive all Participant Declarations, we will be able to complete calculations of all group members losses and each group member's *pro rata* share of the Settlement Distribution Fund. When that process is complete, we will notify all group members of their estimated distributions and group members eligible for a distribution will receive an email with a unique code through which they will be able to indicate their preferred method of receiving the distribution (EFT or cheque) via a secure online portal.
6. If you have any questions, please contact us via

Email: SGHSettlement@mauriceblackburn.com.au
Domestic: 1800 572 151
International: +61 1800 572 151

REGISTRATION FORM

INSTRUCTIONS: Provide the information requested in block capital letters using blue or black ink.

CLAIMANT

Full name:

Address:

Email address:

Phone number:

Claimant's HIN/SRN:

REGISTERED OWNER OF SHARES (IF THE SAME AS CLAIMANT, LEAVE BLANK)

Full name:

Address:

Email address:

Phone number:

Registered owner's HIN/SRN:

1. OPENING BALANCE OF SGH SHARES

This is the number of shares you held as of 30 March 2015. If you did not hold any SGH shares on 30 March 2015, your opening balance would be zero (0).

2. SGH SHARE TRADES from 30 MARCH 2015 to 24 FEBRUARY 2016

List each date on which you purchased or sold SGH shares and the number of shares purchased or acquired on each date.

Date	Trade Type (Purchase/Sale)	Number of SGH shares

[illegible]

PARTICIPANT DECLARATION

Commonwealth of Australia
STATUTORY DECLARATION
Statutory Declaration Act 1959 (Cth)

I, _____ of
Name of person making declaration

Address of person making declaration

Occupation of person making declaration

am, or am authorised to represent, the listed Claimant(s) on the attached Registration Form(s) and make the following declaration under the *Statutory Declarations Act 1959 (Cth)*:

1. I have read and understood the attached Instructions for Unregistered Group Members.
2. The Claimant(s) listed in the Registration Form(s) had an interest in Slater & Gordon Limited (SGH) securities as specified in the attached Registration Form(s), and no other claim is made in respect of the SGH Shares by anyone with an interest in the SGH Shares.
3. The information in the Registration Form(s) is true and accurate.

I understand that a person who intentionally makes a false statement in a statutory declaration is guilty of an offence under section 11 of the *Statutory Declarations Act 1959 (Cth)*.

Signed : _____
Signature of person making declaration

Declared at: _____
Place

On: _____ 2017
Day Month

Before me: _____
Signature of person before whom the declaration is made

Full name, qualification and address of person whom the declaration is made (in printed letters)

Note: A person who intentionally makes a false statement in a statutory declaration is guilty of an offence, the punishment for which is imprisonment for a term of 4 years – see section 11 of the *Statutory Declarations Act 1959 (Cth)*. Chapter 2 of the *Criminal Code* applies to all offences against the *Statutory Declarations Act 1959 (Cth)* – see section 5A of the *Statutory Declarations Act 1959 (Cth)*.

A statutory declaration under the *Statutory Declarations Act 1959* may be made before—

(1) a person who is currently licensed or registered under a law to practise in one of the following occupations:

Chiropractor	Dentist	Legal practitioner
Medical practitioner	Nurse	Optometrist
Patent attorney	Pharmacist	Physiotherapist
Psychologist	Trade marks attorney	Veterinary surgeon

(2) a person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described); or

(3) a person who is in the following list:

Agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public
Australian Consular Officer or Australian Diplomatic Officer (within the meaning of the Consular Fees Act 1955)
Bailiff
Bank officer with 5 or more continuous years of service
Building society officer with 5 or more years of continuous service
Chief executive officer of a Commonwealth court
Clerk of a court
Commissioner for Affidavits
Commissioner for Declarations
Credit union officer with 5 or more years of continuous service
Employee of the Australian Trade Commission who is:
 (a) in a country or place outside Australia; and
 (b) authorised under paragraph 3 (d) of the Consular Fees Act 1955; and
 (c) exercising his or her function in that place
Employee of the Commonwealth who is:
 (a) in a country or place outside Australia; and
 (b) authorised under paragraph 3 (c) of the Consular Fees Act 1955; and
 (c) exercising his or her function in that place
Fellow of the National Tax Accountants' Association
Finance company officer with 5 or more years of continuous service
Holder of a statutory office not specified in another item in this list
Judge of a court
Justice of the Peace
Magistrate
Marriage celebrant registered under Subdivision C of Division 1 of Part IV of the Marriage Act 1961
Master of a court
Member of Chartered Secretaries Australia
Member of Engineers Australia, other than at the grade of student
Member of the Association of Taxation and Management Accountants
Member of the Australasian Institute of Mining and Metallurgy
Member of the Australian Defence Force who is:
 (a) an officer; or
 (b) a non-commissioned officer within the meaning of the Defence Force Discipline Act 1982 with 5 or more years of continuous service; or
 (c) a warrant officer within the meaning of that Act
Member of the Institute of Chartered Accountants in Australia, the Australian Society of Certified Practising Accountants or the National Institute of Accountants
Member of:
 (a) the Parliament of the Commonwealth; or
 (b) the Parliament of a State; or
 (c) a Territory legislature; or
 (d) a local government authority of a State or Territory
Minister of religion registered under Subdivision A of Division 1 of Part IV of the Marriage Act 1961
Notary public
Permanent employee of the Australian Postal Corporation with 5 or more years of continuous service who is employed in an office supplying postal services to the public
Permanent employee of:
 (a) the Commonwealth or a Commonwealth authority; or

(b) a State or Territory or a State or Territory authority; or

(c) a local government authority;

with 5 or more years of continuous service who is not specified in another item in this list

Person before whom a statutory declaration may be made under the law of the State or Territory in which the declaration is made

Police officer

Registrar, or Deputy Registrar, of a court

Senior Executive Service employee of:

(a) the Commonwealth or a Commonwealth authority; or

(b) a State or Territory or a State or Territory authority

Sheriff

Sheriff's officer

Teacher employed on a full-time basis at a school or tertiary education institution