

## Systematic Investment Plan (SIP) / Micro SIP Form

L&T Financial Services  
Mutual Fund

Please refer to the general instructions for assistance and complete all sections in English. For legibility, please use BLOCK LETTERS in black or dark ink.

Time Stamp

Distributor/RIA Code	Sub-Distributor ARN	Sub-Distributor Code	EUIN	Branch Code

Initial Commission will be paid by the investor directly to the distributor, based on assessment of various factors including the service rendered by the Distributor.

Transaction Charges: SEBI (Mutual Fund) Regulations allow deduction of transaction charges of Rs. 100/- from your investment for payment to your distributor if your distributor has opted to receive transaction charges for investments sourced by him. The transaction charges deductible are Rs. 150/- if you are investing in Mutual Funds for the first time. If you are making a SIP Investment, the transaction charges would be deducted over 3-4 instalments. No transaction charges would be levied if you are not investing through a Distributor or your investment amount is less than Rs.10,000/-. If this is the first time, you are investing in any mutual fund, please tick here ☐

Investor's Declaration where EUIN is not furnished: I/We confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor and/or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of distributor and the distributor has not charged any advisory fees on this transaction.

Sole/1st Applicant

2nd Applicant

3rd Applicant

## OTM DEBIT MANDATE FORM FOR NACH/ECS/AUTO DEBIT



UMRN

Office use only

Date

D D M M Y Y Y Y

Tick (✓)

Sponsor Bank Code

CITI000PIGW

Utility Code

CITI00002000000037

☒ CREATE☐ MODIFY☐ CANCEL

I/We hereby authorize

L&amp;T Mutual Fund

to debit (✓)

☐ SB ☐ CA ☐ CC ☐ SB-NRE ☐ SB-NRO ☐ Other

Bank A/c No.

With Bank

Bank Name

IFSC

or MICR

an amount of Rs

Amount in words

₹

Frequency

☒ Monthly☒ Quarterly☒ Half Yearly☒ As & when presented

Debit Type

☒ Fixed Amount☒ Maximum Amount

Scheme

All schemes of L&amp;T Mutual Fund

Email Id

Folio No.

Mobile No. +91-

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

Period

From D D M M Y Y Y Y

To 3 1 1 2 2 0 9 9

or ☒ Until Cancelled

Signature of First Account Holder

Signature of Second Account Holder

Signature of Third Account Holder

1. Name as per Bank Records

2. Name as per Bank Records

3. Name as per Bank Records

This is to confirm that the declaration has been carefully read, understood &amp; made by me/us. I am authorizing the User entity/Corporate to debit my account based on the instructions as agreed and signed by me.

I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the User entity/Corporate or the bank where I have authorized the debit.

## APPLICANT INFORMATION

Name of Sole/1st Unit Holder First Name Middle Name Last Name Folio No.

PAN/PEKRN\*\* First Unit Holder Second Unit Holder Third Unit Holder

KIN\* First Unit Holder Second Unit Holder Third Unit Holder

Date of Birth\* (1st Unit Holder) D D M M Y Y Y Y Date of Birth\* (2nd Unit Holder) D D M M Y Y Y Y Date of Birth\* (3rd Unit Holder) D D M M Y Y Y Y

Mobile No. +91-

E-mail ID

## SIP &amp; INVESTMENT DETAILS (Mandatory. If left blank, the application is liable to be rejected)

☐ New SIP Registration ☐ SIP Renewal ☐ Update new OTM debit mandate for already registered SIP (If selected, move to Section 4) ☐ OTM Debit Mandate to be registered in the folio. (If selected, Section 4 to be filled in mandatorily)☐ OTM Debit Mandate is already registered in the folio. Please fill, Unique Mandate Reference Number (UMRN)

Debit Bank Name

Account No.

Scheme Name L&amp;T

Option (✓) ☐ Growth\* ☐ Dividend Payout ☐ Dividend Reinvestment ☐ Bonus^ Dividend FrequencyFirst Instalment Details: Instrument No. Instrument Date D D M M Y Y Y Y Account Type (✓) ☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ Others

Drawn On

Bank Name

Bank Branch

Bank City

SIP Amount ₹

SIP Frequency ☐ Monthly\* ☐ QuarterlySIP Debit Date ☐ 1st ☐ 5th ☐ 7th ☐ 10th\* ☐ 15th ☐ 20th ☐ 25th ☐ 28th ☐ All eight datesSIP Period From M M Y Y Y Y Y Y To M M Y Y Y Y Y Y OR ☐ Until Cancelled

(Note: Minimum gap of 30 days required between first cheque and subsequent instalment. In case of discrepancy in the SIP Period, the one mentioned in the Debit Mandate will be considered.) (For SIP amount Minimum 500 for Equity schemes &amp; 1000 for Non Equity schemes) ^Available in select schemes only

Reason for your SIP (✓) ☐ Children's Education ☐ Children's Marriage ☐ House ☐ Car ☐ Retirement ☐ Others☐ SIP Top Up (Optional) - Available only for investments effected through Auto Debit.

Top Up Amount ₹

Amount in multiples of ₹ 500 only

Top Up to continue till SIP amount reaches^ ₹ OR Top Up to continue till # D D M M Y Y Y Y

Top Up Frequency (Please ✓ any one) ☐ Half Yearly ☐ Yearly\*

^ SIP Top Up will cease once the mentioned amount is reached.

# It is the date from which SIP Top-Up amount will cease.

\*Default option if not selected

## DECLARATION &amp; SIGNATURES (Mandatory. If left blank, the application is liable to be rejected)

I/We have read and understood the respective Scheme Information Document, Statement of Additional Information and Key Information Memorandum of L&T Mutual Fund. I/We hereby declare that I/We do not have any existing Micro SIPs which together with the current application will result in aggregate investments exceeding Rs. 50,000 in a year. I/We have neither received nor been induced by any rebate or gifts directly or indirectly in making this Systematic Investment. The ARN holder has disclosed to me/us all the commissions (in trail commission or any other), payable to him for the different competing schemes of Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby declare that the particulars given here are correct and express my/our willingness to make payments referred above through participation in ECS/ACH/Auto Debit. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold L&T Mutual Fund, their Investment Manager - L&T Investment Management Limited, or any of their appointed service providers or representatives responsible. I/We will also inform L&T Investment Management Limited about any changes in my/our bank account. I/We have read and agreed to the terms and conditions mentioned overleaf.

**Applicable for AADHAAR SUBMISSION :** I/We hereby give you my/our consent to validate Aadhaar with UIDAI and for updating/linking my Aadhaar number based on the PAN given in all my accounts maintained with CAMS serviced Mutual Funds for KYC & other related due diligence purpose in line with PMLA requirements and Account enrichment purpose.

## SIGNATURE/S AS PER L&amp;T MUTUAL FUND (To be signed as per Mode of Holding)

Sole/First Applicant/Guardian

Second Applicant

Third Applicant

## GENERAL INSTRUCTIONS

Please read the below instructions carefully before filling the form. Please fill up the form in English in BLOCK LETTERS with black or dark ink. All information sought in the form is mandatory except where it is specifically indicated as optional. All instructions & notes are subject to SEBI & AMFI guidelines as amended from time to time. Please note in case of any error while filling the form all applicants must sign against the corrections.

1. **Applicant Information:** Please furnish the Folio Number, Name and PAN of Sole/First Applicant Section 1 of the Form. Your investment would be processed in the specified folio.  
Your personal information and bank account details would apply to this investment as well.  
Please provide the Mobile Number and E-Mail Address of the Sole / First Applicant in the form in case of Individuals and Key Contact in case of Non Individuals. This would help us seamlessly communicate with you on your investments.  
KYC is mandatory. Please enclose copies of KYC acknowledgement letters for all applicants. \*\*PEKRN required for Micro investments upto Rs. 50,000 in a year.  
\* 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).  
**Permanent Account Number (PAN):** Furnishing of PAN is mandatory for all applicants except where specific dispensation is provided under law.
  2. **SIP & Investment Details:**
    - a. New Investors who wish to enroll for SIP investment are required to fill (i) OTM Mandate Form (ii) SIP Registration Form and (iii) the respective Scheme Application form (included in the Key Information Memorandum). New Investors can apply for SIP into respective Scheme / Plans / Option without any existing investment /folio. Please mention the name of the Scheme where you plan to make your investment and your preferred option. If you do not indicate your preferred option, your application would be processed based on the terms & conditions set out in the Scheme Information Document. If you are not investing through a Distributor, please suffix "Direct Plan" after the scheme name.
    - b. Where a One Time Mandate is already registered in a folio for a bank account, the Unit Holder(s) is not required to fill the OTM debit mandate again. However, please mention the UMRM, debit bank name & account number.
    - c. Where the OTM mandate is getting submitted for the first time, please fill the OTM debit mandate form for NACH/ ECS/ Auto debit mandatorily. Enclose cancelled cheque leaf of the bank where OTM is getting registered if the initial purchase cheque is not from the same bank account.
    - d. Micro SIP: As per AMFI notification and guidelines issued on July 4, 2009, SIPs or Lumpsum purchases by eligible investors in a rolling 12 month period not exceeding Rs. 50,000 shall be exempt from the requirement of PAN. From January 1, 2012, KYC is mandatory for all holders of Micro investments.
      - The exemption of PAN requirement is only available to individuals.
      - Eligible investors may invest through SIP or lumpsum purchase without providing PAN subject to the threshold amount as mentioned above.
      - Eligible investors should attach a copy of KYC acknowledgment letter quoting PAN exempt KYC Reference No. (PEKRN) along with the application form.
    - e. Third Party Payments: Third Party Payments for investments are not accepted except in the below cases
      - Payments made by Grand Parents/related persons on behalf of a minor in consideration of natural love and affection for value not exceeding Rs. 50,000
      - Payment by employer on behalf of employees under SIP or lump sum subscription through Pay Roll deductions or deductions out of expense reimbursement.
      - Custodian on behalf of an FPI or Client made by Custodian.
      - Payment by an AMC to its empanelled distributor on account of commission/ incentive etc. in the form of Mutual Fund units through SIP or lump sum/one time subscription.
      - Payment by a Corporate to its Agent/Dealer/Distributor on account of commission or incentive payable for sale of its goods/services in the form of Mutual Fund units through SIP or lump sum/one time subscription.
- In the above cases, necessary declaration / banker's certificate needs to be provided confirming the source of funds for the investment. Please refer the SAI for more details.
- f. SIP Top-Up Facility: Investors can opt for SIP Top Up facility wherein the amount of SIP can be increased at fixed intervals.
    - The minimum amount of increase under SIP Fixed Amount Top-Up facility should be Rs. 500/- and in multiples of Rs.500/- thereof.
    - SIP Top-Up frequency in case of investors availing Monthly SIP facility will be half yearly and yearly and for availing Quarterly SIP facility, the frequency will be yearly. In case the SIP Top-Up frequency is not indicated under Monthly SIP, it will be considered as yearly.
  - g. Your investment cheque should be crossed "Account Payee only" and drawn favoring the scheme name where the investment is in a specific scheme
  - h. Please ensure that the investment cheque issued by you complies with CTS 2010 requirement stipulated by the Reserve Bank of India. The words "CTS 2010" should appear on the face of the cheque.
  - i. Payments made by Cash/Money Order/Postal Order, Non- MICR cheque, outstation cheques are not accepted.
  - j. For detailed terms and conditions on SIP, SIP Top-up, OTM facility, please visit our website [www.lfms.com](http://www.lfms.com) and also refer to scheme related documents.
3. **Declarations & Signatures:**  
Unit holders need to sign here in accordance to the Mode of Holding provided to us and as per the mode of holding in the bank account in the same sequence and manner in the relevant boxes provided in the form.
  4. **Debit Mandate:**
    - a. Please fill all the required details in the OTM Debit Mandate Form for NACH/ ECS/Auto Debit. The sole/first holder must be one of the holders in the bank account.
    - b. The UMRN, the Sponsor Bank Code and the Utility Code are meant for office use only and need not be filled by the investors.
    - c. The 9 digit MICR code and the 11 digit IFSC are mandatory requirements without which your SIP applications will be rejected. You should find these codes on your cheque leaf.

### CHECK LIST FOR SIP INVESTMENT FORM

Application No./Folio No. quoted on the Form	<input type="radio"/>
Name of the Sole/First Holder furnished in the Form	<input type="radio"/>
SIP details listed below are furnished <input type="radio"/> SIP installment amount <input type="radio"/> No. of SIP installments <input type="radio"/> SIP date <input type="radio"/> SIP frequency <input type="radio"/> SIP period <input type="radio"/> SIP Scheme	<input type="radio"/>
Complete Bank details are furnished in the form <input type="radio"/> Name of the Sole/1 <sup>st</sup> Holder of the Bank Account <input type="radio"/> Bank A/c No <input type="radio"/> MICR <input type="radio"/> IFSC	<input type="radio"/>
All holders have signed in accordance with the Mode of Holding as provided to us at the relevant place	<input type="radio"/>
All Bank Account Holders have signed in the same manner and sequence as their Bank Account in the relevant place	<input type="radio"/>

call 1800 4190 200 or 1800 2000 400

email [investor.line@intmf.co.in](mailto:investor.line@intmf.co.in)

[www.lfms.com](http://www.lfms.com)

Please note our lines are open from 9 am to 6 pm, Monday to Friday and 9 am to 1 pm on Saturday.

**Mutual Fund investments are subject to market risks, read all scheme related documents carefully.**

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