

Shares Certs Transfer Form

TRANSFER FORM A

Application form to transfer certificated investments into an Interactive Investor Investment Account

To transfer your existing certificated investments into your Interactive Investor Investment Account, please complete, sign and return this form, together with your share certificate(s) and Crest Transfer Form(s) to:

Stock Admin Dpt, Interactive Investor, 1st Floor, 2 West Regent Street, Glasgow, G2 1RW.

Please note that transfers into an Interactive Investor Investment account can only be made by those named on the account and who are also the beneficial holder of the investments.

Interactive Investor Investment Account Number:

Full name of account holder _____ _____ _____	Address including postcode _____ _____ _____
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Shareholder names as shown on the certificate <i>(See note A)</i> Surname Forename	Name of security/stock & class/ nominal value <i>(See note B)</i>	No. of certificates enclosed	Total no. of shares to be transferred	Book Cost <i>(See note C)</i>
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

Note A – Please write in the name of the shareholder exactly as it appears on the share certificate. If any of the name(s) are spelt incorrectly on the share certificate, please give the incorrect spelling.

Note B - Please write in the name of the investment in full (e.g. XYZ Plc) followed by the class of stock/nominal value (e.g. Ordinary 25p). The class/nominal value will be shown on the certificate(s).

Note C - Please enter the original total amount you paid for your investment (i.e. not amount per share). This would be the net contract value or 'book cost'. This will assist us in preparing a valuation for you.

Important: Interactive Investor can only accept dealing instructions when the transfers have been completed and your shares have been re-registered into Investor Nominees Limited.

I authorise the transfer of the above named investment(s) out of the above name into the name of Investor Nominees Limited. The beneficial holder must sign below:

Signatures of holder	Name in BLOCK CAPITALS	Date
_____	_____	_____

Instructions on how to complete your CREST Transfer Form

If you hold more than one share certificate for the same company's shares, you only need to complete one CREST Transfer Form for the total holding in your name. If the shares are in different people's names, you need to complete one CREST Transfer Form for each person.

Please follow the instructions below and complete the form in ink using **BLOCK CAPITALS**.

CREST TRANSFER		Above this line for Registrar's use	
		Counter Location Stamp	Barcode or Reference
		SDRN	
Above this line for completion by the depositing system-user only.			
Name of Undertaking.	Consideration Money	Certificate(s) lodged with Registrar (To be completed by Registrar)	
	MINING STOCK PLC		
Description of Security <small>Please complete form in type or in block capitals.</small>	ORDINARY SHARES OF 1P		
	Amount of shares or other security in words	Figures	
	THREE THOUSAND FIVE HUNDRED	3500	
Name(s) of registered holder(s) should be given in full; the address should be given where there is only holder. <small>If the transfer is not made by the registered holder(s) insert also the name(s) and capacity (e.g. executor(s) of the person(s) making the transfer</small>	In the name(s) of		Designation (if any)
	MR FRED SMITH 24 THE AVENUE LONDON E1 2AB		Balance certificate(s) required
Please Sign Here →	I/We hereby transfer the above security out of the name(s) aforesaid into the name(s) of the system-member set out below and request that the necessary entries be made in the undertaking's own register of members. Signature(s) of transferor(s)		Stamp of depositing system-user
	1. <i>F. Smith</i>	2.	3.
	4.	 Interactive Investor 2 West Regent Street Glasgow G2 1RW Date	
Full name(s) of the person(s) to whom the security is transferred Such person(s) must be a system member.	Investor Nominees Limited		Participant ID
			CHQAQ
Reference to the Registrar in this form means the registrar of registration agent of the undertaking, not the Registrar of Companies at Companies House.	<small>Euroclear UK & Ireland Limited ('EUI') is delivering this transfer at the direction and on behalf of the depositing system-user whose stamp appears herein and does not in any manner or to any extent warrant or represent the validity, genuineness or correctness of the transfer instructions contained herein or the genuineness of the signature(s) of the transferor(s). The depositing system-user by delivering this transfer to EUI authorises EUI to deliver this transfer to registration and agrees to be deemed for all purposes to be the person(s) actually so delivering this transfer for registration.</small> <small>This form should be used only for a transfer of a certificated unit of a security to a CREST member to be held by a CREST member in uncertificated form. It should not be used for conversion of a unit held by a CREST member into uncertificated form.</small> <small>The CREST rules requires that this form be used for the transfer of a unit of a certificated security to a CREST member to be held by that member in uncertificated form. Any such transfer on this form is exempt from stamp duty.</small>		Member Account ID
			NOMINEE

**CREST
TRANSFER**

Above this line for Registrar's use

Counter Location StampB	arcode or Reference SDRN
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Above this line for completion by the depositing system-user only.

Name of Undertaking.

Description of Security

Please complete form in type or in block capitals.

Name(s) of registered holder(s) should be given in full: the address should be given where there is only holder.

If the transfer is not made by the registered holder(s) insert also the name(s) and capacity (e.g. executor(s) of the person(s) making the transfer

Consideration Money	Certificate(s) lodged with Registrar (To be completed by Registrar)
Name of Undertaking.	
Description of Security	Figures
Amount of shares or other security in wordsF	
In the name(s) of	Designation (if any)
	Balance certificate(s) required

I/We hereby transfer the above security out of the name(s) aforesaid into the name(s) of the system-member set out below and request that the necessary entries be made in the undertaking's own register of members.
Signature(s) of transferor(s)

- 1.
- 2.
- 3.
- 4.

A body corporate should execute this transfer under its common seal or otherwise in accordance with applicable statutory requirements.

Stamp of depositing system-user



Date

Please Sign Here →

Full name(s) of the person(s) to whom the security is transferred

Such person(s) must be a system member.

	Participant ID
	Member Account ID

Reference to the Registrar in this form means the registrar of registration agent of the undertaking, not the Registrar of Companies at Companies House.

Euroclear UK & Ireland Limited ('EUI') is delivering this transfer at the direction and on behalf of the depositing system-user whose stamp appears herein and does not in any manner or to any extent warrant or represent the validity, genuineness or correctness of the transfer instructions contained herein or the genuineness of the signature(s) of the transferor(s). The depositing system-user by delivering this transfer to EUI authorises EUI to deliver this transfer to registration and agrees to be deemed for all purposes to be the person(s) actually so delivering this transfer for registration.

This form should be used only for a transfer of a certificated unit of a security to a CREST member to be held by a CREST member in uncertificated form. It should not be used for conversion of a unit held by a CREST member into uncertificated form.

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