

# Mutual Fund Transfer

✓ **Use this form to:**

- Transfer assets to T. Rowe Price mutual funds from another institution or a T. Rowe Price SEP-IRA or SIMPLE IRA.

✗ **Do not use this form to:**

- Transfer brokerage assets in kind. Use the [Brokerage Transfer](#) form.
- Transfer T. Rowe Price mutual fund shares in kind from another institution. Use the [Mutual Fund Transfer In Kind](#) form.
- Transfer into a SEP-IRA or SIMPLE IRA with T. Rowe Price. Use the [Employer-Sponsored Retirement Plan Participant Transfer-In or 403\(b\) Exchange-In](#) form.

**Mail to:**

T. Rowe Price  
P.O. Box 17150  
Baltimore, MD 21297-1150

**Express delivery only:**

T. Rowe Price Mail Code 17150  
4515 Painters Mill Road  
Owings Mills, MD 21117-4903



This stamp indicates a signature guarantee is required.



This paper clip indicates you may need to attach documentation.

**Checklist to ensure your request is in good order:**

- Provide a copy of most recent statement for account being transferred.
- Call the delivering institution to confirm liquidation and signature guarantee requirements.

## 1 T. Rowe Price Account

**NOTE:** Assets will be transferred to the account type checked in Section 2. A separate form is required for each account type.

Owner Name	Social Security Number (SSN)
Joint Owner Name (if applicable)	Phone

Provide the T. Rowe Price mutual fund allocation. For a new account, visit [troweprice.com/newaccount](http://troweprice.com/newaccount) to open a new account, or enclose an [IRA New Account](#) form or a [Mutual Fund New Account](#) form. If this transfer is from a T. Rowe Price SEP-IRA or SIMPLE IRA and the investments are to remain the same, skip this table.

T. Rowe Price Mutual Fund Name	Amount
	%
	%
	%
	%

**Total = 100%**

For more investments, check this box and attach a [separate page](#).

## 2 Account Being Transferred

**Contact your current financial institution to determine if you need to liquidate your account to cash prior to submitting this form.**

**NOTE:** The transferring institution may take up to six weeks to process the transfer and send the proceeds.

**Check one:**

- I have called my current institution and converted my assets into cash.
- I have called my current institution and verified that I do NOT have to convert my assets into cash prior to the transfer.

To process the transfer, the assets will be liquidated by your current institution and the proceeds invested as designated in Section 1. Any gains on liquidated taxable assets may be subject to capital gains tax. Cost basis will be based on the transferring account's method.

T. Rowe Price will transfer to the same account type. **Exception:** SEP-IRA or SIMPLE IRA assets will be transferred to a Traditional IRA.

**Check one account type:**

**Taxable account**

- Individual
- Trust
- UGMA/UTMA
- Corporate or Other Entity

**IRA**

- Traditional IRA
- Rollover IRA
- Roth Rollover IRA
- Roth Inherited IRA
- Roth IRA
- Inherited IRA
- SEP-IRA
- SIMPLE IRA (two years since the first contribution)



**Account Statement Required to Process.** Enclose a copy of your most recent statement.

Check here if transfer is from a T. Rowe Price SEP-IRA or SIMPLE IRA. Skip the delivering institution name information and complete the investment information below.

**Information in this table is required to process this request.**

Delivering Institution Name	Phone	
Mailing Address		
City	State	ZIP Code

Liquidate all assets below unless a partial amount is provided.

1. Investment Name	Account Number/Plan ID
<input type="checkbox"/> Partial Liquidation: \$	
2. Investment Name	Account Number/Plan ID
<input type="checkbox"/> Partial Liquidation: \$	
3. Investment Name	Account Number/Plan ID
<input type="checkbox"/> Partial Liquidation: \$	
4. Investment Name	Account Number/Plan ID
<input type="checkbox"/> Partial Liquidation: \$	

For more investments, check this box and attach a [separate page](#).

**Certificate of Deposit (CD)**

Only complete this section if the above investment is a CD.

**Liquidation time frame:**

- Immediately. I agree to pay any early withdrawal fees.
- Upon maturity (mm/dd/yyyy)

**NOTE:** Liquidating a CD prior to maturity may result in an early withdrawal penalty. In order to transfer at the maturity date, this form must be received within 15–30 days of maturity.

**Inherited IRA Asset Transfer**

Complete this section if the assets are coming from an Inherited IRA. Provide the address of the delivering institution above.

**Provide deceased account owner's information:**

Name	SSN
------	-----

### 3 Signatures

- I hereby authorize this liquidation from my current financial institution to my T. Rowe Price account(s) designated on this form. If I am transferring an IRA, I certify that the IRA I am transferring (unless transferring a SEP-IRA or SIMPLE IRA to a Traditional IRA) is the same type of IRA as the mutual fund that I have designated to receive these assets. I understand that T. Rowe Price cannot serve as custodian for IRA assets held at other institutions.
- I agree to be bound by the terms of the prospectus for each T. Rowe Price fund in which I am investing. I have the authority and legal capacity to purchase mutual funds, am of legal age in my state, and believe each investment is suitable for me.

**A signature guarantee is required if the delivering institution requires it.**

#### Signatures and Date Required

Owner/Authorized Person	Date (mm/dd/yyyy)
X	
Joint Owner	Date (mm/dd/yyyy)
X	

#### Signature Guarantee

You can obtain the Medallion signature guarantee from most banks, savings institutions, or broker-dealers. We cannot accept guarantees from notaries public or non-Medallion guarantors. The level of coverage provided by the guarantor's stamp must cover the dollar amount of the transaction or it may be rejected.

#### Medallion Signature Guarantee—Place Medallion Stamp Below

#### Official Institutional Use Only—Letter of Acceptance/Payment Instructions

Dear Delivering Institution: Please accept this form as your authorization to immediately liquidate the assets listed in Section 2. Send the proceeds from the sale of the assets to T. Rowe Price. If this is an IRA transfer, T. Rowe Price maintains an IRA (under Internal Revenue Code Section 408 or 408A) for this individual and will deposit the transferred assets into the type of IRA checked below. If the money being transferred is from a SEP-IRA or SIMPLE IRA, T. Rowe Price will deposit the assets into a Traditional IRA. If the money being transferred is from Inherited IRA assets, T. Rowe Price will deposit the assets into an Inherited IRA or Roth Inherited IRA as instructed.

Official T. Rowe Price Authorized Signature	Date (mm/dd/yyyy)
X	
Title	

**Make check payable to:** T. Rowe Price Trust Company TOA

Owner's Name
Tracking Number

#### Overnight redemption proceeds to:

T. Rowe Price Mail Code 17150 | 4515 Painters Mill Road | Owings Mills, MD 21117-4903

#### Mail proceeds to:

T. Rowe Price | P.O. Box 17150 | Baltimore, MD 21297-1150

**Call 800-553-8545 to wire proceeds.**

#### Receiving account type:

- Individual   
  Joint   
  Trust   
  UGMA/UTMA   
  Estate   
  Corporate or Other Entity  
 Traditional IRA   
  Roth IRA   
  Rollover IRA   
  Roth Rollover IRA   
  Inherited IRA   
  Roth Inherited IRA