



# Internal Transfer Form

For use to transfer funds/securities from an existing TD Ameritrade brokerage account to another new or existing TD Ameritrade brokerage account.

**Mail or Fax to:**

PO Box 2760 ■ Omaha, NE 68103-2760

Fax: 866-468-6268

Not intended for withdrawals from IRA accounts. Certain IRA distributions may be requested on the website. Please visit [tdameritrade.com](http://tdameritrade.com) for more information.

## 1. ACCOUNT INFORMATION

- Transfer** *(Between two non-IRA accounts)*

From Taxable Account Number:

Account Title:

To Taxable Account Number:

Account Title:

- Direct Transfer** *(Must be like titled)*

From IRA Account Number:

Account Title:

To IRA Account Number:

Account Title:

- Contribution** *(Some contributions may be done online. Please visit [tdameritrade.com](http://tdameritrade.com))*

From Taxable Account (Non-IRA) Number:

Account Title:

To IRA Account Number:

Account Title:

**Important Information:**

- Account activity, such as recent deposits or trading activity, may delay the transfer process.
- For all gift transfers, the date of gift is the date the transfer settles.

## 2. TRANSFER INSTRUCTIONS (PLEASE SELECT TOTAL OR PARTIAL TRANSFER.)

- Total Transfer of All Securities and Cash or Debit Balances. *(No need to list the securities below)*
- I am requesting to have the delivering account closed after the internal transfer occurs.
- Partial Transfer of Securities and/or Cash or Debit Balances. You must specify securities and/or cash or debit balances.
- Cash — Specify Amount \$ \_\_\_\_\_  Credit  Debit *(Non-IRA Accounts Only)*
- Securities — *(as described below) (Attach additional sheets as needed)*

Asset Description and/or Stock Symbol	Number of Shares	Asset Description and/or Stock Symbol	Number of Shares



### 3. IRA FUNDING

If the receiving account is an IRA, please indicate the type of movement:

**Important Information:**

- If no tax year is indicated, the contribution will be reported as a current year contribution.
- Per IRS regulations, securities cannot be used to satisfy personal or employer contributions. Contributions to an IRA must be made in cash.

- Regular Contribution – Tax Year of this IRA contribution: \_\_\_\_\_  
 Traditional IRA     Roth IRA     SEP Contribution     SIMPLE Contribution
- Direct Transfer from Another IRA
- Direct Rollover or 60-Day Rollover

**NOTE: As of 1/1/2015, IRS Guidance** limits clients to **one 60 day IRA to IRA rollover per 12 month period per individual** regardless of the number or types of IRA accounts the individual maintains.

### 4. SIGNATURE(S)

I verify the instructions provided do not constitute a transfer for consideration (*transfer of stock, funds, or any other assets for payment of goods or services*). If the delivering account is a Joint account or has multiple trustees, all Account Owners/Trustees must sign. If a debit balance is being transferred, all receiving Account Owners/Trustees must also sign this form to accept the debit.

Unless otherwise indicated, I authorize the Transferor to liquidate any nontransferable proprietary money market fund assets that are part of my account and to transfer the resulting credit balance to my account with TD Ameritrade. I authorize the Transferor to deduct any outstanding fees due to Transferor from the credit balance in my account. If my account does not contain a credit balance, or if the credit balance in the account is insufficient to satisfy any outstanding fees, I authorize Transferor to liquidate assets in my account to the extent necessary to satisfy that obligation. If certificates or other instruments in my account are in your physical possession, I instruct Transferor to transfer them in good deliverable form, including affixing any necessary tax waivers, to enable the successor custodian to transfer them in its name for the purpose of sale, when and as directed by me. I understand that upon receiving a copy of this transfer instruction, Transferor will cancel all open orders for my account.

By my signature below, I certify that the information and instructions provided, and the elections made are true and correct. TD Ameritrade Clearing, Inc., may justifiably rely upon the instructions and elections made herein and is authorized to deposit the funds or securities in the manner provided by this Internal Transfer Form. I release and agree to indemnify and hold harmless TD Ameritrade, Inc., and divisions thereof from liability for any adverse consequences that may result from this transaction. **Please sign, print, and mail or fax this form to initiate the transfer request.**

<input checked="" type="checkbox"/> Delivering Account Owner/Trustee's Signature:	Date: _____
<input checked="" type="checkbox"/> Delivering Account Co-Owner/Co-Trustee's Signature:	Date: _____

- **Personal contributions made to an IRA or Roth IRA must be signed by the IRA or Roth IRA account holder.**
- **Please also sign for acceptance of debit balances, short options, and short stock (Non-IRA Accounts Only).**

<input checked="" type="checkbox"/> Receiving Account Owner's Signature:	Date: _____
<input checked="" type="checkbox"/> Receiving Account Co-Owner's Signature:	Date: _____

**Original signature required; electronic signatures and/or signature fonts are not authorized.**

Investment Products: Not FDIC Insured \* No Bank Guarantee \* May Lose Value