

SIPP

ISA

GIA

General Investment Account transfer form

This is an application to transfer to an AJ Bell Investcentre General Investment Account(s) (GIA) from an account with another investment manager or stockbroker.

Please use BLOCK CAPITALS only and blue or black ink, ticking boxes where appropriate.

If you would like a copy of this, or any other item of our literature, in large print, Braille or audio format, please contact us on 0845 83 99 060 or by email at enquiry@investcentre.co.uk.

You must complete all details requested on the following pages and sign the request for transfer in Section 4. Once completed, please return the form to **AJ Bell Investcentre, Trafford House, Chester Road, Manchester M32 0RS**.

Adviser note - you must ensure the 'Assets to be transferred' schedule is completed, including confirmation of whether trail commission is payable to you on assets being transferred.

1 Transferring account - personal details of account holder(s)

If the account from which assets are being transferred is held in joint names, please provide details of all of the joint account holders (if there are more than two, provide details on a separate sheet of paper).

First account holder

Title Dr/Mr/Mrs/Miss/Ms/Other	Surname
Forename(s)	National Insurance number
Permanent residential address	
	Postcode

Second account holder

Title Dr/Mr/Mrs/Miss/Ms/Other	Surname
Forename(s)	National Insurance number
Permanent residential address	
	Postcode

2 Details of transferring account - from which cash and/or assets are to be transferred

Name of account	Account number
Approximate value £	This is a cash transfer <input type="checkbox"/> This is a transfer of assets <input type="checkbox"/>
	This is a transfer of cash and assets <input type="checkbox"/>
Stockbroker's/manager's name	
	Postcode
Contact name	Contact telephone number

3 Receiving account(s) - AJ Bell Investcentre GIA(s) to which cash and assets are to be transferred

If the cash and assets are to be transferred to more than one AJ Bell Investcentre GIA, provide details of the accounts and the assets to be transferred to each account below. If there are more than two accounts, please provide details on a separate sheet of paper.

First/Only AJ Bell Investcentre GIA

Client name
AJ Bell Investcentre account number SCC

Second AJ Bell Investcentre GIA

Client name
AJ Bell Investcentre account number SCC

4 Request for transfer

The transfer of assets from a joint account to an account in one of your names only, or from a sole account or joint account to an account in the name of another, may attract tax charges. You should check the position carefully before signing this form.

Where the transfer is from an account held in joint names then all of the joint account holders must sign below.

If cash and/or assets are to be transferred to more than one AJ Bell Investcentre GIA, then the account holder for each GIA must sign the form.

I/We hereby request that you transfer the cash and assets held under the transferring account, details of which are set out in Section 2, to the AJ Bell Investcentre GIA(s), details of which are set out in Section 3 (receiving account).

I/We authorise the stockbroker/manager specified in Section 2 to provide AJ Bell Investcentre with any information they request regarding my/our GIA. I/We agree that a copy of this authority should have the validity of the original.

I/We confirm that adviser charges will be paid in accordance with the adviser charges option selected previously for the GIA(s) into which the transfer(s) is/are being made.

I/We agree that the 'Assets to be transferred' schedule accurately reflects all assets to be transferred, including the details of commission payable to my adviser (where relevant).

I/We confirm that we:

- consent to the transfer
- have not relied on financial, investment or tax advice from AJ Bell Investcentre
- acknowledge and agree that AJ Bell Investcentre accepts no liability for any loss or tax charges arising as a result of the transfer

Transferring account - all joint account holders must sign

Name	Date
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Signature of first/only account holder
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Name	Date
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Signature of second account holder (if appropriate)

5 Assets to be transferred

Please complete the table below with the assets to be transferred.

Please note that any rebates payable to the client in respect of investments being transferred will be paid in the form of units following the transfer to AJ Bell Investcentre.

The book costs column is a required field, in order to correctly calculate the value of capital gains.

If the table is not completed in full the transfer of assets may be delayed.

First/second AJ Bell Investcentre GIA account holder	Asset's name/description	SEDOL or ISIN reference	Units/shares	Value (£)	Book costs	Is trail to be paid to the adviser (as agreed with the client)?
						Yes <input type="checkbox"/> No <input type="checkbox"/>
						Yes <input type="checkbox"/> No <input type="checkbox"/>
						Yes <input type="checkbox"/> No <input type="checkbox"/>
						Yes <input type="checkbox"/> No <input type="checkbox"/>
						Yes <input type="checkbox"/> No <input type="checkbox"/>
						Yes <input type="checkbox"/> No <input type="checkbox"/>
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						Yes <input type="checkbox"/> No <input type="checkbox"/>
						Yes <input type="checkbox"/> No <input type="checkbox"/>
						Yes <input type="checkbox"/> No <input type="checkbox"/>

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Please tick this box if trail commission is payable to the adviser on all investments to be transferred.