

Assessment Nr. AUD-AOA-CN-00000354

Assessment Report Date:

A. Plan No. A-CN-2011-EX-PM

Monday, 18. July 2011

Assessment Details

Assessment No.	AUD-AOA-CN-00000354	
Assessment description	Jiangsu Sunkey (Wuxi) Co	
Type	Packaging material vendor approval	
Assessment objectives and standard	Approval - Audit	
QL used for assessment	QUESTION LIST FOR PACKAGING MATERIALS	
Assessment standard details	Assess facility and process in comparison to the Nestle Questionnaire and Standard.	
Assessment Dates	Jul 19, 2011	to Jul 19, 2011
Scope	Production of cooking bag: raw material receiving, folding, cutting and sealing, hand folding, packaging and storage.	
Ref.no. of other Nestlé assessment used (where applicable)		
Vendor risk	High	
Key material group assessed	P70 FLEXIBLES	
Additional material groups assessed		

Assessment Nr. **AUD-AOA-CN-00000354**

A. Plan No. A-CN-2011-EX-PM

Nestlé Assessment Team

Last Name	First Name	Role in Team
Jia	Yongsheng	Audit Coordinator
Jia	Yongsheng	Lead Auditor

Assessment Nr. AUD-AOA-CN-00000354

A. Plan No. A-CN-2011-EX-PM

Vendor Details

Vendor	Jiangyin Sunkey Plastic Packaging
Vendor No.	100827651
Address	887 Xicheng Road,214405 Jiangyin,CN
Phone No.	510 86179999

Vendor site(s) in scope of assessment

Vendor Site	Vendor Site No.	Address	Phone No.	Visited during assessment
Jiangyin Sunkey Plastic Packa	100827651	887 Xicheng Road,214405 Jiangyin,CN	510 86179999	<input type="checkbox"/>

Assessment Nr. AUD-AOA-CN-00000354

A. Plan No. A-CN-2011-EX-PM

Person responsible for action

Contact Person	Job Title	Email Address

Person met during assessment

Contact Person	Job Title	Email Address
Ms. Alisha Luo	Sales	alisha@sunkeycn.com
Mr. Chen Yun Feng	General Manager	cyf@sunkeycn.com
Mr. Cheng Yilong	Vice General Manager	cyl@sunkeycn.com
Mr. Wu Han	Technical Director	wuh@sunkeycn.com
Mr. Zhou Xin Yang	Production Director	
Mr. Jin Feng	HR Director	
Mr. Lu	QA Manager	

Add

Delete

Assessment Nr. AUD-AOA-CN-00000354

A. Plan No. A-CN-2011-EX-PM

Outcome of Assessment

Approval decision	Approved
Comment	<p>Jiangsu Sunkey (Wuxi) Packaging Co is located in Shuofang Town,Wuxi Development Zone,Jiangsu,China. Tel: 86-510-85330291, Fax: 510-85305066.</p> <p>Jiangsu Sunkey Packaging Co is a new factory which was started to produce from October 2010. They have set up a separate workshop for cooking bag production which is in good GMP condition. The supplier also has some production line for printing and plastic bag making which was used. Sunkey Group has another factory in Jiangyin to produce cooking bag which was audited and approved in 2009. Now the supplier has moved all the production of PET cooking bag to this new site.</p> <p>The raw material of cooking bag is DoPont PET 800 film which was designated by Nestle. The production capacity is around 40,000 pieces of cooking bag per shift now. The cooking bag for Nestle was produced during the audit.</p> <p>Auditor observed and documented a total of :</p> <ul style="list-style-type: none"> • 2 major non-conformances <p>Note: The factory has set up HACCP plan. However, they didn't apply for the certificate. The management explained that they were planning to get the ISO22000 certificate in 2012.</p>
Approval for material(s) use in the following Nestlé product category(s)	Cooking bag
Follow up visit required	<input type="checkbox"/>
Follow up visit planned date	



Vendor Assessment Form

Internal Procedure No.

Page 6

Assessment Report Date:

Monday, 18. July 2011

Assessment Nr. AUD-AOA-CN-0000354

A. Plan No. A-CN-2011-EX-PM

Assessment Nr. AUD-AOA-CN-00000354

A. Plan No. A-CN-2011-EX-PM

Assessment Summary

Requirements that were assessed are valuated in the table below.
 Requirements that were not considered are marked as "Not Assessed".

No.	Requirement	Rating	Comments	Add Req.	Add Action
1	GMP Audit	Complying	see below		
1.3	Quality Organisation	Complying	Quality Organisation was in place and the company was certified ISO9001 by Ingeer.		
1.4	Quality Documentation	Complying	Quality Documentation was in place.		
1.5	Good Manufacturing Practices	Complying with reservation	see details below		
1.5.1	Raw Materials	Complying	Every batch of Raw Materials were checked according to the standard.		
1.5.2	Pest Control	Complying	Pest Control was externalized to third party company. Pest control device (bait station and pest killer) was installed and maintained by the third party company. Checking record was available.		

Assessment Nr. AUD-AOA-CN-00000354

Assessment Report Date:

A. Plan No. A-CN-2011-EX-PM

Monday, 18. July 2011

No.	Requirement	Rating	Comments	Add Req.	Add Action
1.5.3	Protection Against Foreign Bodies	Complying with reservation	Easily broken knife was used in the workshop.		
1.5.4	Lubricants	Complying with reservation	The factory used food grade white oil, however there was no food contact certificate and/or testing report.		
1.5.5	Chemicals	Complying with reservation	Alcohol was used to sanitize the operators' hand. However, there was no grade information (food contact or non-food contact).		
1.5.6	Cleaning	Complying	Cleaning plan was in place.		
1.5.7	Personnel	Complying	GHP is acceptable.		
1.6	Process	Complying	SOP was in place and put on site.		
1.7	Inspection During Processing	Complying	QC was in charge of inspection and checking record was in place.		
1.8	Inspection of Finished Product	Complying	Inspection of Finished Product (size and seal strength) was conducted by internal lab.		

Assessment Nr. AUD-AOA-CN-00000354

A. Plan No. A-CN-2011-EX-PM

No.	Requirement	Rating	Comments	Add Req.	Add Action
1.9	Storage	Complying with reservation	Water mark and mould was observed on the wall of the warehouse.		
1.10	Transport	Complying			
1.11	General Procedures	Complying			
1.11.1	Non-Conforming Products	Complying	Procedure was in place and followed.		
1.11.2	Product Release	Complying	Product was released by QA Manager.		
1.11.3	Exceptional Release	Not assessed	No Exceptional Release		
1.11.4	HACCP	Complying	HACCP was set up and followed.		
1.11.5	Compressed Air	Not assessed	Compressed Air was not used.		

Assessment Nr. AUD-AOA-CN-00000354

A. Plan No. A-CN-2011-EX-PM

No.	Requirement	Rating	Comments	Add Req.	Add Action
1.11.6	Maintenance	Complying with reservation	Tape was used for maintenance		
1.11.7	Traceability	Complying	All products were labeled.		
1.11.8	Calibration	Complying	Calibration plan was in place.		
1.11.1 0	Crisis Management	Complying	Mock recall are organized once a year.		
1.12	Training	Complying	Operators are trained.		
1.13	Environment	Complying			
2	Safety & Compliance Audit	Complying with reservation	see below		
2.1	Nestlé Specification	Complying	Nestlé Specification was in place and followed.		

Assessment Nr. AUD-AOA-CN-00000354

A. Plan No. A-CN-2011-EX-PM

No.	Requirement	Rating	Comments	Add Req.	Add Action
2.2	Compliance for Food Contact	Complying with reservation	CoC of PET800 film was provided by DuPont. However, no testing report was available according to FDA and EU standard.		
2.3	Regulatory Controls	Complying	Exporting Food Package Manufacturer Registration: S32000056, valid until 2013/6/17		
2.4	Printing and Converting	Not assessed	No Printing and Converting		
2.5	Recovered Materials	Not assessed	No Recovered Materials		

Assessment Nr. AUD-AOA-CN-00000354

Assessment Report Date:

A. Plan No. A-CN-2011-EX-PM

Monday, 18. July 2011

Corrective Action Overview

Corrective and preventive actions

The Corrective and Preventive Action mentioned in the following list are linked to gaps whose rating should be interpreted according to the following table:

Critical

Issues threaten food (consumer) or obvious occupational (employee) safety. These issues must be fixed immediately, and/or entail immediate suspension and/or recall of product.

Serious

Issues are related to compliance with regulations or to potential safety problems or impact on the business or efficiency. Immediate corrective actions are needed and specific follow-up is to be carried out at the Market Technical Management level.

Major

Issues are related to compliance with regulations or to potential safety problems or impact on the business or efficiency. These issues must be fixed as soon as possible (always within 3 months) and followed up at the Facilities' Management level.

Minor

Relate to quality issues (including business/efficiency). They must be fixed according to a defined action plan.

No.	Requirement	Short descr. of the gap	Detailed Finding	Rating	Action Plan & Comments	PI. Start date	PI. End date	Delete
1.5	Good Manufacturing Practices	GMPs issues	Foreign body issues: - Easily broken knife was used in the workshop. - Tape was used to fix machine. Chemical control: - The factory used food grade white oil, however there was no food contact certificate and/or testing report. - Alcohol was used to sanitize the operators' hand. However, there was no grade information (food contact or non-food contact). - Water mark and mould were observed on the wall of the warehouse.	MAJOR	Review foreign body, warehouse management and chemical control plan. Retrain operators. Conduct regular checks.			

Assessment Nr. AUD-AOA-CN-00000354

A. Plan No. A-CN-2011-EX-PM

No.	Requirement	Short descr. of the gap	Detailed Finding	Rating	Action Plan & Comments	PI. Start date	PI. End date	Delete
2.2	Compliance for Food Contact	No testing report of PET800	CoC of PET800 film was provided by DuPont and there was a testing report according to RoHS requirements. However, no testing report was available according to FDA and EU standard.	MAJOR	Conduct the testing according to FDA and EU standard. Keep records.			

Assessment Nr. AUD-AOA-CN-00000354

A. Plan No. A-CN-2011-EX-PM

Notes (for internal use only)

Date & Time	Title	Note Text
00.00.0000 00:00:00		

[Add Note](#)



Vendor Assessment Form

Internal Procedure No.

Page 15

Assessment Report Date:

Monday, 18. July 2011

Assessment Nr. AUD-AOA-CN-00000354

A. Plan No. A-CN-2011-EX-PM

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Please print this attachment and sign below if requested by Nestlé

On behalf of Nestle

Date:

Signature:

On behalf of Vendor

Date:

Signature: