MAGNA

# SUPPLIER ASSESSMENT

DATE OF AUDIT:

| | | | |
|---|---|---|---|
| SUPPLIER NAME: | | Supplier Contact Name: | |
| ADDRESS / CITY / STATE | | Supplier Contact Postion:<br>Supplier Contact Phone: | |
| FAX NUMBER: | | E-Mail Address | |

| | | | | | | |
|---|---|---|---|---|---|---|
| **REASON FOR/TYPE AUDI** | | QUALITY / DELIVERY ISSUES | | NEW BUSINESS | | QUICK ASSESSMENT |
| | | ANNUAL ASSESSMENT | | FOLLOW-UP ASSESSMENT | | TECHNICAL ASSESSMENT |

**CUSTOMERS (AUTOMOTOVE):**

**CURRENT MAGNA OPERATIONAL STATUS (IF RATED)**

**Customer PPM (YTD Avge.)**

**Previous Year PPM**

**Union Facility** YES NO If Yes, Contract Expire:

| TS 16949 Certification | CERTIFICATION EXPIRY DATE | ISO 9001 Certification | CERTIFICATION EXPIRY DATE | VDA/Other Certification | CERTIFICATION EXPIRY DATE | ISO 14001 Certification | CERTIFICATION EXPIRY DATE |
|---|---|---|---|---|---|---|---|
| YES | | YES | | YES | | Yes | |
| NO | | NO | | NO | | NO | |

Has Certification ever been revoked? YES NO If Yes, State Reason:

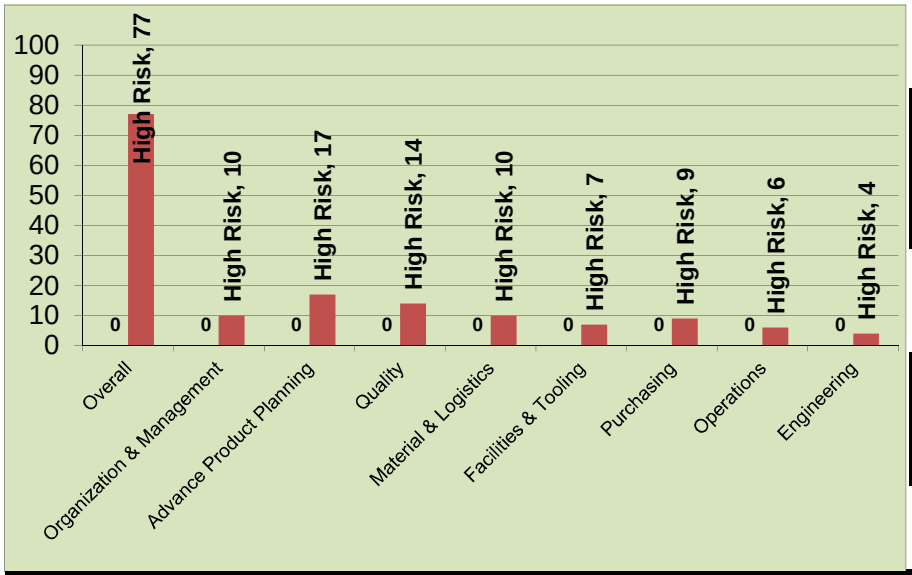
Supplier has Liability Insurance? Y N

**CQI Compliant?** N/A Yes No

| | | | |
|---|---|---|---|
| **CQI #:** | | **Next Assessment:** | |
| **CQI #:** | | **Next Assessment:** | |

| | |
|---|---|
| PRODUCT FOCUS FOR ASSESSMENT | |
| MAGNA PLANTS SUPPLIED: | |

## *FULL OPERATIONAL/QUALITY ASSESSMENT RESULTS*




*(Optional Comments)*

**Major Strengths of Organization:**

| | |
|---|---|
| 1) | |
| 2) | |
| 3) | |

**Major Concerns of Organization:**

| | |
|---|---|
| 1) | |
| 2) | |
| 3) | |

**TECHNICAL ASSESSMENT RESULTS**

***Level of Risk Observed***

**Type completed:**

**Completed by:**

*(enter total number of elements at each risk level)*

**Assessment Completed By:**

| | |
|---|---|
| **Name:** | |
| **Group:** | |
| **E-Mail:** | |
| **Phone:** | |

*Approvals (if required by Group):*

| | **Quality** | **Purchasing** | **Program Management** |
|---|---|---|---|
| Name: | | | |
| Title: | | | |
| Signature: | | | |

## SUPPLIER ASSESSMENT FORM

***NOTES:***
***1. If a particular element is currently not applicable, enter N/A into the comments section. The score will be adjusted accordingly.***

| 1 | General Organization and Management Structure | Score: High Risk | Moderate to High Risk | Low to Moderate Risk | Negligible to No Risk | | | |
|---|---|---|---|---|---|---|---|---|
| **No.** | **ITEM** | **0** | **1** | **2** | **3** | **Score** | **Risk** | **Notes** |
| 1 | Is the Management structure and Resource allocation sufficient to support all necessary disciplines | No formal organization chart.<br>Critical functions open. | Organization chart in place.<br>Some functions open - critical positions filled | Organization chart in place.<br>Some functions open - critical positions filled<br>Plans to secure additional resources | Organization chart in place and is current<br>All functions filled<br>Documented succession planning in place<br>Regular & formal review of succession plan | | High | |
| 2 | Responsibilities and authority are defined and evidence supports effective employee training | No formal job descriptions at any level<br>Lack of evidence to support training | Job descriptions for all production functions<br>Evidence of training for production functions | Job descriptions for all levels of the organization<br>Evidence of appropriate training at all levels | Job descriptions for all levels of the organization<br>Evidence of appropriate training at all levels<br>Training needs defined and tracked<br>Cross-training matrices available | | High | |
| 3 | Does the supplier utilize part-time or temporary work force? What is the training or orientation process for temporary labor? | No formal training or orientation process.<br>Percentage of part time or temporary labor used is in excess of 30% | No formal training or orientation process.<br>Percentage of part time or temporary labor used is between 20% and 30% | Formal training or orientation process is in place for all temporary labor.<br>Percentage of part time or temporary labor used is between 20% and 30% | Formal training or orientation process is in place for all temporary labor.<br>Percentage of part time or temporary labor used is below 20% | | High | |
| 4 | Management defines quality and performance objectives that promote Continuous Improvement. Key metrics should include all elements of the business and establish target values. | No formal continous improvement program<br>Lack of key performance metrics | Evidence of continuous improvement program<br>Key operational metrics identified | Evidence of continuous improvement program<br>Key operational metrics identified<br>Evidence of regular management reviews | Evidence of continuous improvement program<br>Goals defined in Business Plan<br>Key operational metrics identified<br>Evidence of regular management reviews<br>Evidence of Cost of Quality analysis & tracking<br>Corrective action plans in place for missed targets | | High | |
| 5 | Does Management regularly communicate key operational and performance information to all employees | No evidence of communication to employees<br>No formal suggestion program in place | Some evidence of communication to employees<br>Evidence of customer requirements communicated to employees | Consistent evidence of communication<br>Key Customer performance indicators reviewed regularly<br>Employee suggestion program in place | Consistent evidence of communication<br>Key Customer and internal performance indicators reviewed regularly<br>Employee suggestion program in place | | High | |
| 6 | Workplace Health and Safety practices are in place and are consistently adhered to | No formal health & safety program in effect<br>Lack of guarding and other safeties<br>Lack of cleanliness and organization | Safety program in place<br>Inconsistent application of health & safety rules<br>Lack of cleanliness and organization | Safety program in place<br>Generally consistent application of health & safety rules<br>Plant is generally clean and organized | Evidence of strong health & safety program in place, including regular safety audits<br>Consistent application of health & safety rules<br>Plant is clean and organized | | High | |
| 7 | Management has sufficient resources to effectively manage Customer Requirements. Planning includes sufficient resources that are trained and qualified and meet planning requirements? | No awareness of customer requirements<br>No evidence of training<br>Lack of resources to support customer | Aware of customer requirements<br>Some level of support in place to support | Aware of customer requirements<br>Customer support availabel in language of Customer and available for 24 hrs as needed | Aware of customer requirements<br>Customer support availabel in language of Customer and available for 24 hrs as needed | | High | |
| 8 | Does Management conduct regular internal process audits (Layered Process Audits) that ensure compliance to Customer requirements? | No formal LPA process | No formal process<br>Some evidence of layered audits | Formal process in place<br>Evidence of audits completed | Formal process in place<br>Evidence of audits completed<br>Evidence of corrective action implemented<br>Evidence of continuous improvement via LPA | | High | |
| 9 | Does the supplier have a business continuity and contingency planning process in place to ensure continuity of supply in the event of natural disaster or supply interruption? | No formal plan in place | Plan in place but accounts only for loss of manufacturing capability due to events at manufacturing site<br>No analysis of risk due to supply chain<br>No annual review | Plan in place<br>Plan provides for loss of manufacturing capability and interruption of supply<br>Plan not reviewed on an annual basis | Detailed business continuity and contingency planning in place<br>Alternate sources of supply identified<br>Supply chain risk identified and understood<br>Plan is reviewed annually, at minimum | | High | |
| 10 | Does the organization have a process, charter or system to ensure the presence of acceptable global working conditions in all its operations? | No formal process<br>Unaware of requirements | No formal process or procedure<br>Evidence of internal systems to ensure health & safety, competitive wages, working conditions etc | Formal process in place to ensure global working conditions internally | Formal process in place to ensure global working conditions internally and at supplier locations<br>Global Working Conditions formally documented for suppliers (P.O., Supplier Manual etc.) | | High | |
| | | | | | ***Total High Risk elements for General Organization and Management Structure =*** | | **10** | |

**SUPPLIER ASSESSMENT FORM**

***NOTES:***
***1. If a particular element is currently not applicable, enter N/A into the comments section. The score will be adjusted accordingly.***

## 2 Advanced Product Planning

Score: High Risk → Moderate to High Risk → Low to Moderate Risk → Negligible to No Risk

| No. | ITEM | 0 | 1 | 2 | 3 | Score | Risk | Notes |
|---|---|---|---|---|---|---|---|---|
| 1 | Does the Supplier have a process for managing Advance Quality activity and sufficient resources to manage activity? | No formal or documented system in place<br>Evidence of sporadic reviews<br>No design or manufacturing feasibility studies | Documented system in place<br>Evidence of systemic reviews<br>Proper feasibilities completed | Documented system in place<br>Evidence of systemic reviews<br>Proper feasibilities completed<br>Evidence of cross functional teams | Documented system in place<br>Evidence of systemic reviews<br>Proper feasibilities completed<br>Evidence of cross functional teams<br>Evidence of regular, scheduled reviews | | High | |
| 2 | Process to ensure detailed Contract Review, including Design and Capability review.<br>Awareness and review of all division specific requirements. | No formal process in place | No formal process<br>Some evidence of design or contract reviews | Formal process in place<br>Evidence of contract reviews<br>Evidence of review & understanding of Key/Critical characteristics | Formal process in place<br>Evidence of contract reviews<br>Evidence of review & understanding of Key/Critical characteristics<br>Evidence of Cross-functional teams<br>Evidence that division specific requirements are understood and complied with | | High | |
| 3 | Does this Supplier's APQP Plan include scheduled formal Design Reviews, Management Reviews, Program Reviews, etc with their Customer and internally? | No records of program reviews | Documented procedure for program reviews<br>some records of completed program reviews<br>Inconsistent or no tracking of open issues | Documented procedure for program reviews<br>Records of completed program reviews<br>Open issues tracked | Documented procedure for program reviews<br>Records of completed program reviews<br>Reviews include Customer, as appropriate<br>Open issues tracked and closed in timely manner<br>Open issues have containment and corrective action plans in place, as required | | High | |
| 4 | Does this Suppliers APQP process / system include the review of the customers packaging requirements? | No system or process to review packaging requirements<br>No internal resources responsible | No review of packaging unless driven by the cusomer<br>Limited or no internal knowledge/resources | Process to ensure review and approvel of packaging<br>Sufficient internal knowledge/resources | Process to ensure review and approval of packaging<br>Internal resources with sufficient knowledge<br>Customer approval obtained | | High | |
| 5 | Does the Supplier conduct equipment validation and performs run at rate in preparation for production? | No system or process to validate equipment<br>No evidence of run at rate studies | Evidence of equipment validation prior to start of production<br>No evidence or limited evidence of run at rate studies to verify meeting capacity requirements | Evidence of equipment validation prior to start of production<br>Evidence suggests run at rate conducted in most situations, but lack of formal process | Process to ensure equipment validation<br>Records verify completion of run at rate studies<br>Evidence of customer sign-off/approvals | | High | |
| 6 | Does the Supplier have a documented 'Safe-Launch' plan? Does it include pre-first-shipment containment and verification before any product is shipped? | No system to ensure verification of material at start of program launch | Evidence of some containment in place, but only on a limited basis<br>Supplier only implements containment when directed by the Customer | Product containment plan is defined as part of program launch process<br>Production control plans used - no pre-launch plans | Product containment plan is defined as part of program launch process<br>Pre-launch control plans in place<br>Failure modes analyzed and corrective action implemented | | High | |
| 7 | Mistake-proofing and error-proofing used to control critical characteristics and ensure product integrity? Is prevention methodology driven by all appropriate data, including part history, LPA results, TS/ISO results and FMEA? | No focus on mistake proofing or error prevention<br>Focus is on detection and reliance on operators | Heavy reliance on operators, but some focus on automated error detection | Significant focus on error prevention<br>High use of mistake proofing in manufacturing process | Significant focus on error prevention<br>High use of mistake proofing in manufacturing process<br>Mistake proofing driven by FMEA/RPN reduction, and all appropriate sources of data, including audit results and similar part(s) history | | High | |
| 8 | Does this Suppliers Process flow diagram match the actual production flow laid out for this facility? | Process Flow Diagram and actual production flow do not match | Process flow and manufacturing basically match, but some out of sequence steps noted | Process flow and manufacturing process match but inspection points out of sequence | Process flow diagram matches actual production flow of materials and manufacturing process, including all inspection points | | High | |
| 9 | Does this Suppliers FMEA's and Control Plan's match the Process Flow diagram? | No linkage between FMEA's, Control Plans and Process Flows | Process Flow and Process Control Plan match, but no obvious link to FMEA's | Process Flow and Process Control Plan match and evident match to FMEA's | Process Flow and Process Control Plan match and evident match to FMEA's<br>Evidence of periodic reviews | | High | |
| 10 | Does the FMEA include critical and/or significant characteristics as well as all supplier identified Key Characteristics? Are Customer attach/interface points addressed, to prevent pass-through issues? | No tracking of critical and special characteristics | Criticial characteristics are tracked<br>Control is focussed on product only, not process | Critical/Special characteristics tracked consistently on FMEA, PCP, PFD, Instructions etc.<br>Required statistical controls in place | Critical/Special characteristics tracked consistently on FMEA, PCP, PFD, Instructions etc.<br>Required statistical controls in place<br>Control is on both product and process<br>Customer attach/interface points identified and controls in place to prevent pass-through issues | | High | |
| 11 | Are Supplier FMEA's risk numbers for severity, occurrence, and detection based on historical / statistical data? | Total or significant lack of risk numbers on FMEA's | Risk numbers assigned on FMEA's, but no evident linkage to customer requirements | Risk numbers assigned on FMEA's<br>Risk numbers linked to customer requirements | Risk numbers assigned on FMEA's<br>Risk numbers linked to customer requirements<br>Evidence that risk numbers are revised, based on historical or statistical data, or issues | | High | |
| 12 | Are the mistake proofing opportunities initiated to reduce high RPN numbers noted on the control Plan? | No linkage between RPN's and mistake proofing | Evidence of improvements to mistake proofing, but no visible link to RPN's | Evidence of link between addition of mistake proofing and reduction plan for RPN's | Evidence of link between addition of mistake proofing and reduction plan for RPN's<br>Focus on RPN reduction for both product and process | | High | |
| 13 | Does the control plan identify all gauges and test equipment required for inspection? Including test frequency? | No reference to gauges, test equipment or test frequency on control plans | Control plans identify required gauging, test equipment and frequencies<br>Only minor exceptions noted | Control plans identify required gauging, test equipment and frequencies<br>No visible exceptions noted | Control plans identify required gauging, test equipment and frequencies<br>No visible exceptions noted<br>Frequencies are adjusted based on issues and historical data | | High | |
| 14 | Does the supplier have a system to manage and track all sub-tier advance planning activity? | No system in place to track supplier activities | Supplier activities are tracked<br>Regular review of supplier activities | Supplier activities are tracked<br>Regular review of supplier activities<br>Supplier readiness is reviewed with internal review meetings | Supplier activities are tracked<br>Regular review of supplier activities<br>Supplier readiness is reviewed with internal review meetings<br>Key supplier events & timing managed with R/Y/G risk status | | High | |

**SUPPLIER ASSESSMENT FORM**

***NOTES:***
***1. If a particular element is currently not applicable, enter N/A into the comments section. The score will be adjusted accordingly.***

| | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| 15 | Does the Supplier have a process to track PPAP performance with the Customer and from Suppliers? | No tracking system in place | System in place to track Customer PPAP submissions<br>Evidence of some PPAP samples available | System in place to track Customer PPAP submissions<br>Evidence of all PPAP samples available | System in place to track Customer and supplier PPAP submissions<br>Evidence of all PPAP samples available<br>PPAP issues properly addressed<br>All Magna PPAPs have full approval or have a signed, authorized interim with a valid expiry | | High | |
| 16 | Does this Supplier have a process / system in place to ensure that all design requirements / engineering specifications are communicated to their supply base? | No documented system of communication in place | Process in place to communicate design requirements and engineering specifications to suppliers<br>Minor exceptions noted | Process in place to communicate design requirements and engineering specifications to suppliers<br>No exceptions noted | Process in place to communicate design requirements and engineering specifications to suppliers<br>Suppliers sign off/acknowledge requirements<br>No exceptions noted | | High | |
| 17 | Is there a process to ensure Tier Supplier product is controlled to ensure compliance? Are all requirements for safety critical characteristics clearly communicated to all sub-suppliers? | No documented system to qualify suppliers<br>No documented system to communicate critical characteristics | No documented system to qualify suppliers<br>System in place to communicate critical characteristics to suppliers | Documented system to qualify suppliers<br>System in place to communicate critical characteristics to suppliers | Documented system to qualify suppliers<br>System in place to communicate critical characteristics to suppliers<br>Records of supplier audits<br>Records of design/characteristic reviews | | High | |
| | | | | | | ***Total High Risk elements for Advance Product Planning =*** | **17** | |

**SUPPLIER ASSESSMENT FORM**

***NOTES:***
***1. If a particular element is currently not applicable, enter N/A into the comments section. The score will be adjusted accordingly.***

| 3 Quality | | Score: High Risk | Moderate to High Risk | | Negligible to No Risk | | | |
|---|---|---|---|---|---|---|---|---|
| **No.** | **ITEM** | **0** | **1** | **2** | **3** | **Score** | **Risk** | **Notes** |
| 1 | Is this Supplier ISO or TS registered ? Are they current with their surveillance audit schedule? | Supplier has no certifications at present | Supplier has minimum of ISO certification<br>Lacks TS/VDA certification | Supplier has all applicable certifications, including ISO, TS, VDA, CQI - as applicable | All required certifications are current, including ISO, TS, VDA, CQI etc.<br>No current open corrective actions from last audit | | High | |
| 2 | Is the supplier current to their internal audit schedule, including corrective actions for all findings? | No formal internal schedule of review | No formal schedule of review<br>Some evidence of completed internal audits | Formal schedule of review over 12 month period<br>Evidence of audits completed on schedule | Formal schedule of review over 12 month period<br>Evidence of audits completed on schedule<br>All corrective actions completed on time | | High | |
| 3 | Are Work Instructions for all employees affecting product quality, including for repair and re-work operations available and posted? | No work or operator instructions posted, or significant lack of instructions | Work instructions posted or available for operators<br>No rework/repair instructions | Work instructions posted or readily available<br>Repair/rework instructions posted or available<br>Instructions do not match level of Control Plans | Work instructions posted or readily available<br>Repair/rework instructions posted or available<br>Instructions match level of Control Plans | | High | |
| 4 | Are controls in place to verify process start up and job change-over? | No start up procedures defines<br>No evidence of start up controls - such as first-piece, containment etc. | Start up process defined<br>First-off samples available at all appropriate operations - some exceptions noted | Start up process defined<br>First-off samples available at all appropriate operations - no exceptions noted | Start up process defined<br>First-off samples available at all appropriate operations<br>Evidence of first piece approval/signature | | High | |
| 5 | Does the Supplier have an effective Problem Resolution process? Does the system address customer and internal issues? Does the process ensure review and necessary update of the applicable PFMEA? Does the supplier exit containment only after customer approval? | Noticeable lack of formal process<br>Focus only on external issues<br>Multiple open issues and/or late responses<br>No cross-functional approach | Documented procedure<br>Issues addressed in timely manner<br>Lack cross-functional approach | Documented procedure<br>Timely response to internal & external issues<br>Cross functional approach used | Documented, formal problem resolution process that includes:<br>- both external (customer) and internal issues<br>- cross-functional team approach<br>- thorough 8D/5 Why process in place<br>- timely response to all issues<br>- on time response is a KPI<br>- corrective action drives update to all related documents<br>- Evidence of PFMEA review/update for all corrective actions, or at least annually if no corrective actions issued<br>- Evidence that customer approval obtained prior to cessation of containment activity | | High | |
| 6 | Does this Supplier have a process / system to identify and segregate non-conforming material at each phase of the production process? | Suspect material not clearly identified<br>Suspect material at production cells, with high potential for mix<br>Lack of effective containment process | Suspect material identified but not properly segregated<br>Some evidence of containment in place<br>Lack of or poor system to verify final disposition of all suspect material | Suspect material clearly identified & segregated<br>Effective containment process internally, at suppliers and at customer(s)<br>No evidence of signatures detailing disposition and/or lack of secure containment area | All suspect/defective material clearly marked<br>Suspect material segregated in secure area<br>Suspect material clearly accounted for, with appropriate disposition and signatures<br>Procedures define proper containment activities internally, at suppliers and at customer(s) | | High | |
| 7 | Is there a system to identify, qualify and control Measuring and Test Equipment? | Lack of or poorly managed system<br>Multiple fixtures/gages with outdated calibration<br>Gages/fixtures not referenced on Control Plans | Formal, but manual system in place<br>Very few, or no fixtures/gages past due<br>Fixtures and gages referenced on control plans | Formal automated system in place<br>System generates reminders of calibration due<br>No evidence of past due calibrations<br>Fixtures/gages referenced in control plans<br>Evidence of effective GR&R on all gages | Formal automated system in place<br>System generates reminders of calibration due<br>No evidence of past due calibrations<br>Fixtures/gages referenced in control plans<br>Effective MSA system in place | | High | |
| 8 | Are Operators trained and qualified for any required measurement and tests, including use of appropriate statistical techniques? Are there posted instructions detailing inspection, test, SPC, work requirements and re-work instructions at every station? | Lack of instructions<br>Lack of operator training documentation<br>Missing, or no SPC where required | Gage instructions in place, as required<br>Evidence of some training records<br>Some use of SPC, but possibly inconsistent | Operator gage instruction in place<br>Operator training records available and current<br>SPC data current, where required<br>Re-work gage instructions missing or not posted | Operator gage instruction in place<br>Operator training records available and current<br>SPC data current, where required<br>Adequate re-work gage instructions available at all production and re-work stations | | High | |
| 9 | Are all inspection gauges and fixtures stored in a manner that protects them from environmental and / or handling / storage damage? | Evidence of gages/fixtures inappropriately stored and/or susceptible to damage<br>No designated storage | Storage has been designated but is insufficient<br>Medium to high potential for damage | Adequate storage for gages/fixtures<br>Some, but minimal opportunity for damage | Dedicated storage for gages/fixtures, when not in use<br>Storage prevents damage or abuse | | High | |
| 10 | Does the supplier have sufficient internal capability and equipment to ensure customer performance and specification criteria can be met? | Significant lack of internal capability<br>High reliance on external sources | Sufficient resources to monitor most dimensional and material specifications<br>Some reliance on external sources | Internal resources can support dimensional and material requirements<br>Some performance or specialty requirements are sourced externally | Internal resources can support dimensional, material and performance requirements<br>Only specialty requirements are sourced externally | | High | |
| 11 | Does the supplier have an effective method of qualifying visual inspection personnel for clarity of vision and accuracy of color, where required? Is lighting adequate in all visual inspection areas? | No existing process to qualify personnel conducting visual inspections. Lighting insufficient in one or more areas of inspection | Lighting is adequate in most areas of visual inspection but no formal qualifications for visual or color capability of personnel conducting inspections. | Lighting is adequate in all stations requiring visual inspections.<br>Inspection personnel undergo some form of qualification for visual acuity. | Lighting is adequate in all stations requiring visual inspections.<br>Formal system in place to quality and maintain visual and color perception of inspectors. | | High | |
| 12 | Does this Supplier have a process / system to measure and track external quality performance with their customers? | No formal system in place | Some customer performance measures in place<br>Some customers have no performance data | System in place to track external quality<br>All customers are tracked but, some customer performance data not current<br>Some corrective actions not addressed in timely manner | Documented system in place to measure external quality<br>Performance data is current and includes appropriate PPM and incident data, at minimum<br>Corrective actions in place to address under performance issues | | High | |
| 13 | Does the supplier have a record retention process that ensures all necessary test, inspection and safety critical records are retained for period as defined by the Customer? | No formal document retention system | Document retention system in place<br>Records not easily retrievable<br>No system to ensure timely destruction of documents, as per legal or customer requirements<br>Records not protected from damage | Document retention system in place<br>Records easily retrievable<br>No system to ensure timely destruction of documents, as per legal or customer requirements<br>Records protected from damage | Document retention system in place<br>Documents are protected from damage and readily accessible<br>Process ensures destruction as per schedule<br>Records include both paper and data records | | High | |
| 14 | Has this Supplier identified all of the special processes that will be required? Will any of these special processes require outsourcing? Are additional controls in place to manage outsources services? | No, or minimal control over out-sourced processes | Sub-tier suppliers have at least partial evaluation prior to sourcing<br>No regular performance reviews | Sub-tier suppliers evaluated prior to sourcing<br>Performance of sub-tiers monitored regularly<br>No detailed records of review of requirements prior to sourcing | Sub-tier suppliers are evaluated prior to sourcing<br>Performance of sub-tiers monitored regularly<br>Record of detailed review of requirements with sub-tier suppliers, prior to sourcing | | High | |

***SUPPLIER ASSESSMENT FORM***

***NOTES:***
***1. If a particular element is currently not applicable, enter N/A into the comments section. The score will be adjusted accordingly.***

| | | |
|---|---|---|
| ***Total High Risk elements for Quality =*** | **14** | |

**SUPPLIER ASSESSMENT FORM**

***NOTES:***
***1. If a particular element is currently not applicable, enter N/A into the comments section. The score will be adjusted accordingly.***

| 4 Materials and Logistics | | Score: High Risk | Moderate to High Risk | Low to Moderate Risk | Negligible to No Risk | | | |
|---|---|---|---|---|---|---|---|---|
| **No.** | **ITEM** | **0** | **1** | **2** | **3** | **Score** | **Risk** | **Notes** |
| 1 | Does the Supplier have a documented system to ensure receipt of Customer schedules and requirements? | No formal system to ensure receipt/validity of customer releases | System in place to receive customer orders, but no verified back up system<br>No regular tracking of delivery performance | System in place to receive and verify customer orders<br>Regular monitoring of delivery performance<br>Back up system for receiving orders but system is not tested regularly | System in place to receive and verify customer orders<br>Regular monitoring of delivery performance<br>Back up system for receiving orders and system is tested regularly | | **High** | |
| 2 | Does the supplier have a formal process to plan material flow into and through the plant? Are materials and components stored, packaged and transported so as to protect them from dirt and damage? | Poor inventory management system<br>No apparent flow to material movement<br>High risk of damage to materials & components | Material flow sufficient, from receiving through manufacturing and shipping<br>Evidence ofsome material congestion in places<br>No apparent FIFO<br>Potential for material damage | Good material flow throughout manufacturing facility - no apparent bottlenecks<br>Material identified at all stages of the process<br>System in place to monitor inventory levels<br>Lacking evidence of effective FIFO | Good material flow throughout manufacturing facility - no apparent bottlenecks<br>Material identified at all stages of the process<br>System in place to monitor inventory levels<br>Plans in place to reduce inventory<br>Evidence of effective FIFO in place | | **High** | |
| 3 | Does this Supplier have a material lot traceability system? | No, or very minimal traceability evident | Traceability on finished product but lacking on raw materials and components | Full material traceability on raw and finished materials<br>Maximum period of traceability is >8 hours, or one shift<br>Traceability indicated on shipping labels | Full material traceability on raw and finished materials<br>Maximum period of traceability is <8 hours, or one shift<br>Traceability indicated on shipping labels | | **High** | |
| 4 | Does the supplier have a system / process to validate the contents, label accuracy, and quantities of all containers shipped? | No verification of materials prior to shipping | Validation prior to shipping is limited to verification of part number, only | System in place to verify shipments, but limited to:<br>- part number<br>- part quantity | System in place to verify each customer shipment for:<br>- quantity<br>- correct part<br>- proper labelling<br>- part damage | | **High** | |
| 5 | Is there a process to manage the material ordering activity to ensure an adequate supply of raw material / lower level components are available to meet production requirements? Are all delivered and/or surplus materials stored in packaging and conditions so as to prevent damage or mix? | No apparent link between customer orders and orders/receipts of purchased materials | Raw materials/components inventories tracked and ordered according to min/max values<br>No linkage to customer orders or releases | Raw materials/components tied to customer releases<br>Storage sufficient to prevent damage or mixing<br>System in place to provide warning for low inventories | Raw materials/components tied to customer releases<br>Storage sufficient to prevent damage or mixing<br>System in place to provide warning for low inventories<br>Customers proactively notified of order concerns | | **High** | |
| 6 | Does this Supplier have processes / systems in place to confirm and manage inventory levels? | No evidence of min/max values set<br>No regular cycle or inventory counts | Min and max values set on inventory<br>No inventory counts conducted on regular basis | Min and max values set on inventory<br>Inventory counts conducted on regular basis | Min and max values set on inventory<br>Inventory counts conducted on regular basis<br>Inventory turns are at acceptable level, or as defined in the business plan | | **High** | |
| 7 | Does the supplier utilize off-site warehouses? If so, is inventory tracked? Are cycle counts conducted? | Off-site warehouses used<br>No visible controls in place | Off-site warehouses used<br>Regular counts of inventory received, but not validated regularly | No off-site warehouse used, or<br>Warehouse used but regular inventory counts monitored<br>Warehouse protects agains damage or loss | No off-site warehouse used, or<br>Warehouse used but regular inventory counts monitored<br>Inventory validated through periodic count<br>Warehouse protects agains damage or loss | | **High** | |
| 8 | Is the supplier capable of meeting AIAG labeling requirements as defined in Supplier Guidelines? | AIAG labelling requirements not being met | AIAG standard met only when demanded by Customer | Labelling meets AIAG requirements<br>All shipped material meets AIAG standard<br>Customer approval not obtained prior to shipping | Labelling meets all AIAG requirements<br>Labelling approved by Customer prior to first shipment<br>All shipped material meet AIAG standard | | **High** | |
| 9 | Does the Supplier utilize Advanced Shipping Notice's (ASN's)? Does the supplier verify the ASN to the Bill of Lading, and the customers order? | Supplier does not issue ASN on regular basis, or issues in excess of 30 minutes prior to shipment | Supplier almost always issues ASN but at times in excess of 30 minutes prior to shipment | Supplier issues ASN for all shipments<br>ASN issued at maximum of 30 minutes prior to shipment | Supplier issues ASN for all shipments<br>ASN issued at maximum of 30 minutes prior to shipment<br>Process in place to verify ASN to Bill of Lading to Customer order | | **High** | |
| 10 | C-TPAT and PIP Security Compliance Program | Supplier completely unaware of requirements | Supplier notified of need to comply (by division)<br>Minimal progress towards compliance<br>Magna C-TPAT questionnaire not completed | Supplier working on compliance with division<br>Supplier on track with timing to achieve compliance | Supplier is C-TPAT/PIP certified or<br>Magna C-TPAT questionnaire completed & posted in Supplier Portal | | **High** | |
| | | | | | ***Total High Risk elements for Materials& Logistics =*** | | **10** | |

**SUPPLIER ASSESSMENT FORM**

***NOTES:***
***1. If a particular element is currently not applicable, enter N/A into the comments section. The score will be adjusted accordingly.***

| 5 Facilities & Tooling | | Score: High Risk | Moderate to High Risk | Low to Moderate Risk | Negligible to No Risk | | | |
|---|---|---|---|---|---|---|---|---|
| **No.** | **ITEM** | **0** | **1** | **2** | **3** | **Score** | **Risk** | **Notes** |
| 1 | Does this supplier have a process / system to recertify a tool after repair but before production is scheduled? | No formal process or procedure in place | Documented system to qualify tool/fixture repairs<br>No formal sign off procedure | Documented system to qualify tool/fixture repairs<br>System ensures sign off by qualified personnel | Documented system to qualify tool/fixture repairs<br>System ensures sign off by qualified personnel<br>System ties in to production first-off procedure | | High | |
| 2 | Does the supplier have a tooling database to track and manage tooling? | No database or formal tracking system for tools | Adequate tracking system for tooling<br>Missing asset tags on tools<br>Tool maintenance records missing or not available at all | Adequate tracking system for tooling<br>Customer ownership validated via asset tags<br>Tool maintenance records maintained and available for review | Adequate tracking system for tooling<br>Customer ownership validated via asset tags<br>Proper storage location for tooling not in production<br>Tool maintenance records maintained and available for review | | High | |
| 3 | Is all Tooling being stored in a location that is protected from environmental / handling damage? | Lack of designated storage for tooling | Designated storage for tooling<br>Storage allows for damage from environment or handling | Designated storage for tooling<br>Storage protects against damage from environment or handling | Designated storage for tooling<br>Storage protects against damage from environment or handling<br>Storage in an area readily accessible | | High | |
| 4 | Does the supplier conduct a Tooling inventory and compare the results against the Tooling database? | No regular inventory | Regular inventory completed at a prescribed frequency | Regular inventory completed at a prescribed frequency<br>Inventory matched to customer asset records | Regular inventory completed at a prescribed frequency<br>Inventory matched to customer asset records<br>Tooling engineering levels validated annually, as required | | High | |
| 5 | Is there a system to track new tooling on order to support Customer schedules and targeted implementation dates? | No formal review process in place | Documented system to track tooling orders<br>Minimal evidence of customer updates | Documented system to track tooling orders<br>Tooling tracked to implementation timeline<br>Evidence of customer updates | Documented system to track tooling orders<br>Monitored as part of APQP<br>Customer updated on potential issues | | High | |
| 6 | Does the supplier have a formal Preventive Maintenance management system at this facility? Does it include all production equipment, Tooling and supporting fixtures? Can it track specific Tools? Is maintenance completed per schedule? | No formal preventive maintenance plan in place | Preventive maintenance in place<br>Maintenance driven by tooling/equipment downtime<br>Maintenance includes production tooling, equipment and fixtures | Evidence of an effective preventive maintenance program in place<br>Records validate maintenance occurs as scheduled | Evidence of TPM (Total Productive Maintenance) | | High | |
| 7 | Does the Supplier have a process to monitor tooling and equipment capacities? | Very little or no knowledge of capacity available | Supplier has knowledge of available capacity<br>Capacity forecast driven by high level factors such as floor space, total hours available<br>No detail on equipment capacity | Supplier has knowledge of available capacity<br>Evidence of current capacity studies on equipment | Supplier has knowledge of available capacity<br>Evidence of current capacity studies on equipment<br>OEE studies available for review<br>Sufficient resource planning is evident | | High | |
| | | | | | ***Total High Risk elements for Facilities & Tooling =*** | | **7** | |

**SUPPLIER ASSESSMENT FORM**

***NOTES:***
***1. If a particular element is currently not applicable, enter N/A into the comments section. The score will be adjusted accordingly.***

| 6 Purchasing | | Score: High Risk | Moderate to High Risk | Low to Moderate Risk | Negligible to No Risk | | | |
|---|---|---|---|---|---|---|---|---|
| **No.** | **ITEM** | **0** | **1** | **2** | **3** | **Score** | **Risk** | **Notes** |
| 1 | Supplier capability/competency for existing and quoted Magna business. | Supplier lacks internal expertise on product or process technology to support Magna business<br>Magna represents >50% of supplier's overall business | Supplier has full technical capability to support Magna business<br>Magna represents >35% but <50% of supplier's overall business | Supplier has full technical capability to support Magna business<br>Some secondary processing out-sourced<br>Magna represents >35% but <50% of supplier's overall business | Supplier has full technical capability to support Magna business<br>No out-sourcing of secondary processing<br>Magna represents <35% of supplier's overall business | | **High** | |
| 2 | Does Magna face undue risk by entering / increasing business with this Supplier as a result of the share of business they do with other OEMs? | Supplier is a direct competitor to Magna, with same OE Customer base | Supplier is a direct competitor to Magna, but with different OE Customer base | Supplier is competitor with Magna, but with different technology and/or products | Supplier does not compete with Magna<br>Supplier is not an OE supplier | | **High** | |
| 3 | How does this Supplier communicate customer requirements (drawings, specifications, etc) to their suppliers during the quoting process? | No formal process in place<br>Primary method of communication is verbal | Formal RFQ/SOW sent to suppliers | Formal RFQ/SOW sent to suppliers<br>Suppliers asked to sign off on feasibility | Formal RFQ/SOW sent to suppliers<br>Suppliers asked to sign off on feasibility<br>Only qualified/approved suppliers are used | | **High** | |
| 4 | Is this Suppliers facility unionized? If yes, what union represents the bargaining unit? When does the current contract expire? | Supplier is unionized<br>Contract expiry is in <3months<br>No contingency planning in place at this time | Supplier is unionized<br>Contract expiry is in 3-6 months<br>No contingency planning in place at this time | Supplier is unionized<br>Contract expiry is in 3-6 months<br>Contingency planning in place at this time | Supplier is not unionized or,<br>Contract expiry is minimum of 12 months away<br>Contract expiry in 6-12 months, but contingencies being planned | | **High** | |
| 5 | Is this Supplier's facility owned or leased? Is it adequately protected from catastrophic loss due to Fire, Flood, etc? Does the insurance coverage include customer owned goods and/or equipment? | Inadequate coverage for damage or loss<br>No coverage on customer owned tooling or equipment | Facility on long-term lease<br>Some insurance but likely inadequate to cover full loss | Facility on long-term lease<br>Proof available to verify adequate insurance<br>Customer owned capital insured (Bailee Bond) | Facility is owned<br>Proof available to verify adequate insurance<br>Customer owned capital insured (Bailee Bond) | | **High** | |
| 6 | Does the Supplier have access to required Magna websites? Does the supplier understand what information is available on applicable websites? | No knowledge of websites or available resources | Some, but minimal knowledge of websites | Full knowledge of Magna and/or Group website<br>Limited or no use of sites as directed by division<br>Information in Supplier Portal/e-RFX is incomplete or not up to date | Full awareness of website<br>Accesses and uses specific sites as directed by division(s)<br>Contact information, certifications and all other information is updated in Supplier Portal/e-RFX | | **High** | |
| 7 | Does this Supplier have a process / procedure for the selection, qualification, approval, and management of their supply base? | No formal procedure for selection of suppliers<br>No, or minimal tracking of supplier performance | Procedure defines selection of supplier<br>Supplier performance is measured/monitored<br>No evident signs of supplier development | Procedure defines selection of supplier<br>Supplier assessments conducted<br>Supplier performance is measured/monitored<br>Minimal supplier development activity | Procedure defines selection of supplier<br>Supplier assessments conducted<br>Supplier performance is measured/monitored<br>Adequate resources to manage supply base<br>Evidence of supplier development activity | | **High** | |
| 8 | Does this Supplier have a process / system in place to disqualify their suppliers based on performance? | No formal system in place<br>Supplier performance not monitored or,<br>No reaction to poor supplier performance | No formal system in place<br>Supplier performance monitored, but no reaction to poor performance | Formal system in place<br>Supplier performance monitored<br>Development activities evident with poor performing suppliers | Formal system in place<br>Supplier performance monitored<br>Development activities evident with poor performing suppliers<br>Process in place to prevent new business to poor performing suppliers | | **High** | |
| 9 | NAFTA and Customs Compliance process | No internal resources with appropriate knowledge of NAFTA | NAFTA compliance manual/procedures in place<br>Lack of adequate resources to manage NAFTA activity | NAFTA compliance manual/procedures in place<br>Adequate resources to manage NAFTA activity | NAFTA compliance manual/procedures in place<br>Adequate resources to manage NAFTA activity<br>Alternate resources also familiar enough with NAFTA to provide back up | | **High** | |
| | | | | | ***Total High Risk elements for Purchasing =*** | | **9** | |

**SUPPLIER ASSESSMENT FORM**

***NOTES:***
***1. If a particular element is currently not applicable, enter N/A into the comments section. The score will be adjusted accordingly.***

| 7 Operations | | Score: High Risk | Moderate to High Risk | Low to Moderate Risk | Negligible to No Risk | | | |
|---|---|---|---|---|---|---|---|---|
| **No.** | **ITEM** | **0** | **1** | **2** | **3** | **Score** | **Risk** | **Notes** |
| 1 | From an overall perspective, is this Suppliers facility clean, well maintained and organized both inside and outside? Is there a formal 5S and lean manufacturing process in place? | Poor housekeeping practices<br>No evidence of 5S principles in place<br>Risk to employees health & safety | Some areas of good housekeeping, but very sporadic<br>Some risk to employees health & safety | Formal 5S program and review process in place<br>Majority of manufacturing area is clean and organized<br>Opportunity for improvement in office areas, or shipping or warehouse areas<br>Minor risk to employee health & safety | Formal 5S program and review process in place<br>Lean manufacturing program in place<br>All areas clean and organized, including:<br>- manufacturing<br>- office areas<br>- shipping & receiving and warehouse<br>- maintenance and lab areas (if applicable)<br>No obvious risk to employee health & safety | | **High** | |
| 2 | Does this Supplier have a process / system to track and manage scheduled and unscheduled downtime for this facility? | No formal process to track scheduled or unscheduled downtime | Scheduled downtime tracked<br>Lack of detailed tracking of unscheduled downtime | Scheduled and unscheduled downtime is tracked<br>Lack of documented response to address unscheduled downtime<br>Customer not notified if downtime anticipated to affect Customer | Scheduled and unscheduled downtime is tracked<br>Evidence of documented response to address and reduce unscheduled downtime<br>Customer notified if downtime anticipated to affect Customer | | **High** | |
| 3 | Does this Supplier have documented / published performance goals, Key Performance Metrics, for this facility? How is the supplier performing to these goals? | No formal process in place to track performance of key measurables and drive improvement | Key measurables are tracked<br>Lack of formal plans to drive improvement | Key measurables are tracked<br>Formal plans in place to drive improvement | Key measurables are tracked<br>Formal plans in place to drive improvement<br>Supplier is generally meeting improvement targets | | **High** | |
| 4 | Does this Supplier have an up-to-date plant layout that shows current product flow? | No layout or mapping of production flow, available | Plant layout available<br>Current production flow differs from existing plant layout | Plant layout available<br>Current layout matches existing production flow | Plant layout available<br>Current layout matches existing production flow<br>System in place to ensure Customer notified when production process/flow is changed | | **High** | |
| 5 | Is there a process to address absenteeism? | No formal tracking of absenteeism | Absenteeism is tracked to a defined target<br>Lack of documented plans to address absenteeism above target | Absenteeism is tracked to a defined target<br>Documented plans to address absenteeism above target | Absenteeism is tracked to a defined target<br>Documented plans to address absenteeism above target<br>Evidence of sufficient cross-training to prevent absenteeism presenting risk to customer | | **High** | |
| 6 | Does this Supplier have a process / system in place to train new employees? | No formal training program | Evidence of training for new employees<br>Training includes health and safety training<br>Training is sufficient to ensure job requirements can be met | Evidence of training program for new employees<br>Training includes health and safety training<br>Training is sufficient to ensure job requirements can be met<br>Evidence of cross-training | Evidence of training program for new employees<br>Training includes health and safety training<br>Training is sufficient to ensure job requirements can be met<br>Evidence of cross-training<br>Training effectiveness is monitored<br>Evidence of training needs analysis on regular basis | | **High** | |
| | | | | | ***Total High Risk elements for Operations =*** | | **6** | |

**SUPPLIER ASSESSMENT FORM**

***NOTES:***
***1. If a particular element is currently not applicable, enter N/A into the comments section. The score will be adjusted accordingly.***

| 8 Engineering | | Score: High Risk | Moderate to High Risk | Low to Moderate Risk | Negligible to No Risk | | | |
|---|---|---|---|---|---|---|---|---|
| **No.** | **ITEM** | **0** | **1** | **2** | **3** | **Score** | **Risk** | **Notes** |
| 1 | Does this Supplier have the latest Customer drawings and specifications for all parts in the program? Does the system ensure drawing/specifications are at the latest level? | No formal tracking system in place<br>Informal system in place but high potential for obsolete drawings/specifications in the system | Informal or manual system in place<br>System is fairly robust with minimal opportunity for mistakes | Formal system in place to track drawing and specification levels<br>All appropriate documentation and control plans reference latest drawings available | Formal system in place to track drawing and specification levels<br>All appropriate documentation and control plans reference latest drawings available<br>System ensures annual review/validation with customer | | **High** | |
| 2 | If required by Customer and/or Government regulations is there proof of annual recertification testing and compliance to DOT regulations, FMVSS standards, PPAP submission, etc. | No system to track validation requirements | System in place to track validation requirements<br>Some validations completed as per schedule, but numerous validations delinquent | System in place to track validation requirements<br>Validations completed as per requirement, including (but not limited to):<br>- PPAP<br>- FMVSS/Regulatory<br>- CQI | System in place to track validation requirements<br>Validations completed as per requirement, including (but not limited to):<br>- PPAP<br>- FMVSS/Regulatory<br>- CQI<br>System is proactive and issues notices of due validations | | **High** | |
| 3 | Is the electronic design program *(software)* used by the supplier (with design / Tool design responsibilities) appropriate and compatible with Magna systems? | Supplier unable to communicate engineering data, internally | Supplier has system to communicate engineering data<br>Majority of service is out sourced to a 3rd party | Supplier has system to communicate engineering data<br>Majority of communication is via interna systems<br>Portion of service is out sourced to a 3rd party | Supplier has system to communicate engineering data<br>All communication is via internal systems | | **High** | |
| 4 | How does the supplier plan and control sub-supplier defined product and process changes? | Little or no evidence of a change management process that requires approval to changes before they occur. | Some evidence of change management, but process is informal | Evidence of a change management process that requires approvals. Sub-tier communication and tracked but lacks proper follow-up | Process defined for reviewing and approving sub-tier request for change and communicate to customer. Requires sub-tier to communicate any proposed changes, track these changes, and manage any risk | | **High** | Will add change mgmt with sub-tier to current Engineering element #4 |
| | | | | | ***Total High Risk elements for Engineering =*** | | **4** | |

***Supplier Name*** **PDCA PLANNING WORKSHEET** **Issue Date:**

## Assessment Concerns & Corrective Action

| PLAN | | | DO | | | | | Check / Measure / Actions Effective? | |
|---|---|---|---|---|---|---|---|---|---|
| Section Number | Item No. | Issue Description | Proposed Actions Taken | Responsibility | Task Timing | | | Results/Conclusions | Comments |
| | | | | | Start Date | Target Date | Closed Date | | |
| **Organization & Management** | | | | | | | | | |
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| **Quality/Advance Planning** | | | | | | | | | |
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| **Materials/Logistics/Purchasing** | | | | | | | | | |
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| **Operations/Engineering/Facilities/Tooling** | | | | | | | | | |
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| Revision Summary | | | | |
|---|---|---|---|---|
| Previous Level | New Level | Page # | Element # | Description |
| 8/29/2005 | 9/26/2005 | Guidelines | | Language changed/added to paragraphs 2, 5 & 6 |
| 8/29/2005 | 9/26/2005 | 2 | 9 | Certified 3rd Party Test Facility added |
| 8/29/2005 | 9/26/2005 | 3 | 27 | "Customer" added before "Non-Conformance" and Tracking Log added |
| 8/29/2005 | 9/26/2005 | 3 | 24 | "PPAP Documents & "Manufacturing Documents" added |
| 8/29/2005 | 9/26/2005 | 4 | 30 | Additional elements defined |
| 8/29/2005 | 9/26/2005 | Cover | | Approvals added (if required) |
| 8/29/2005 | 9/26/2005 | Cover | | PPM Changed to show Current PPM |
| 8/29/2005 | 9/26/2005 | Cover | | "Optional" added to Strengths & Weaknesses |
| 8/29/2005 | 9/26/2005 | Cover | | Liability Insurance question added |
| 8/29/2005 | 9/26/2005 | 4 | 29 | Lack of bottlenecks or constraints added |
| 8/29/2005 | 9/26/2005 | 3 | 25 | Verification of error-proofing added |
| 8/29/2005 | 9/26/2005 | 3 | 20 | "authorized by…signature" added |
| 8/29/2005 | 9/26/2005 | 4 | 29 | "in a secure and segregated area" added |
| 8/29/2005 | 9/26/2005 | 4 | 29 | "in identified containers" added |
| 8/29/2005 | 9/26/2005 | 4 | 30 | "check for use of bar code labelling" added |
| 8/29/2005 | 9/26/2005 | 2 | 17 | Approved Supplier List added |
| 8/29/2005 | 9/26/2005 | 2 | 16 | Records of Supplier Audits added |
| 8/29/2005 | 9/26/2005 | 3 | 23 | Requirements for statistical control added |
| 8/29/2005 | 9/26/2005 | Guidelines | | Final paragraph added re: Site specific assessments |
| 9/26/2005 | 2/27/2006 | | | Heat Treat Technical Assessment Added |
| | | | | Plastic Injection Technical Assessment Added |
| | | Cover | | Technical Assessment Rating Added |
| 2/27/2006 | 3/20/2006 | | | Plating/Coating Technical Assessment Added |
| 3/20/2006 | 7/25/2008 | H/Treat | | Section Removed - Use CQI Certification |
| 3/20/2006 | 7/25/2008 | Plating | | Section Removed - Use CQI Certification |
| 3/20/2006 | 9/23/2008 | Cover | | Risk level reporting for Financial/Technical revised |
| 3/20/2006 | 9/23/2008 | Finance | | Financial assessment revised - risk level added and financial results metrics added |
| 9/23/2008 | 9/26/2008 | | | Previous update used wrong assessment |
| 9/26/2008 | 10/1/2008 | Finance | | Reference Ratios added to Pg2 and Finance Data modified |
| 10/1/2008 | 2/10/2010 | Finance | | Financial assessment tab removed |
| | | Organization | 10 & 11 | Global Working Conditions added |
| 2/10/2010 | 9/27/2010 | Plastic Inj | | Plastic Injection tab removed |
| 9/27/2010 | 10/31/2010-V10 | All | All | Scoring corrected, Technical Assessments added |
| 10/31/2010-V10 | 11/01/2010-V11 | All | All | High Risk element tracking and scoring added |

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|---|---|---|---|---|
| 11/01/2010-V11 | 02/18/2011-V12 | Cover | | Union and CQI information added |
| | | Quality | | Added - Previous Sections redefined |
| | | Facilities/ Tooling | | Added - Previous Sections redefined |
| | | Purchasing | | Added - Previous Sections redefined |
| | | Operations | | Added - Previous Sections redefined |
| | | Engineering | | Added - Previous Sections redefined |
| | | Quick Assessment | | Scoring method revised |
| | | Detailed Quality Ass't. | | Scoring method revised |
| | | Materials | 14 | C-TPAT & PIP requirements added |
| | | Purchasing | 12 | NAFTA/Customs requirements added |
| 02/18/2011-V12 | 03/14/2011-V13 | | | Formatting & printing settings improved |
| 03/14/2011-V13 | 04/15/2011-V14 | Corrective Acition | | Format changed to PDCA Tracker |
| 04/15/2011-V14 | 05/04/2011-V15 | All | All | Scoring method revised |
| | | Advance Q. | 19 | Revised |
| | | | 7 | Revised |
| | | Materials | 7 | Revised |
| | | | 3 | Revised |
| | | Operations | 6 | Added |
| | | Organization | 4 | Revised |
| | | | 6 | Revised |
| | | | 7 | Added |
| | | Purchasing | 3 | Revised |
| | | | 10 | Added |
| | | Quality | 3 | Added |
| | | | 6 | Revised |
| | | | 10 | Revised |
| | | | 16 | Added |
| 05/04/2011-V15 | 09/30/2011-V16 | Cover | | Supplier contact information added |
| | | Detailed Quality Ass't. | All | Scoring method revised and descriptions added |
| | | | All | "Notes" added to header of each section |
| 09/30/2011-V16 | 11/08/2011-V17 | Advance P. Facilities | All | Scoring conditioning formula corrected |
| 11/08/2011-V17 | 08/03/2012-V18 | | | Quick Assessment tab removed |
| | | | | Supplier Status tab added |
| | | | | Supplier operational status added to cover page |
| | | | | Contract review moved to advance planning |
| | | Org & Mgmt | 8 | Business continuity planning added |
| | | Advance Planning | | Data communication removed - covered in Engineering section |
| | | | | Change control & capability tracking moved to Quality section |
| | | | | Work instructions moved to Quality section |
| | | Quality | 5 | Start up & changeover procedure added |
| | | | 7 | Voice of Customer requirement added |
| | | Materials | 10 | C-TPAT questionnaire added |
| | | Purchasing | 7 | Supplier information updates added |
| | | Operations | 6 | Safety program removed - in Gen. Organization |
| | | Engineering | 5 | Sub-tier supplier change requirements added |
| | | | | Technical Assessment for Tooling added |

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|---|---|---|---|---|
| | | | | PPM removed from PDCA tracker |
| 08/03/2012-V18 | 03/26/2013-V19 | Glossary | | Glossary of Terms added |
| | | Cover | | Quick Assessment reference removed |
| | | All | | Section numbers added |
| | | Org/Mgmt | 3 | Temporary labor requirement added |
| | | Advance Planning | 14 | Sub-tier advance planning requirement added |
| | | Quality | 11 | Visual inspection criteria added |
| | | Engineering | 4 | Removed - covered in Quality section # 11 |
| | | PDCA | | Tracker re-formatted |
| 03/26/2013-V19 | 4/16/2013-V20 | Cover | | Certification to ISO 9001 - "2000" removed |
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