

CUSTOMER REGISTRATION FORM

Before you complete this form, please read carefully our "Personal Information Protection Policy" and "Conditions of Auction". Please write clearly in block letters.

Please fill in either of the categories in which you will participate.

DATE DD / MM / YYYY

Individual Applicant			
Name Mr. / Ms.			
Home Address			Date of Birth
City/State	Country	Postal Code	DD / MM / YYYY
Telephone	Mobile	Fax	
Email		Occupation	

Corporate Applicant			
Name of corporation			
Name of representative Mr. / Ms.			
Address of corporation			
City/State	Country	Postal Code	
Telephone	Fax		
Name of person in charge Mr. / Ms.			
Department	Title		
Telephone	Fax		
Mobile	Email		

Please read the "Personal Information Protection Policy" on the reverse and sign below after agreeing to the content of that information. If you do not agree, you may not submit an application.

Signature of Applicant	Print Name(IN BLOCK LETTERS)	Date DD / MM / YYYY
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Financial Information (for reference only)	
Bank Name	Contact Mr. / Ms.
Telephone / Fax	Account Number

SBI Art Auction Co., Ltd. TFT Bldg East Wing 7F, 3-6-11 Ariake, Koutou-ku, Tokyo 135-0063 JAPAN Tel +81-3-3527-6692 Fax +81-3-3529-0777 artauction@sbigroup.co.jp	
備考	確認書類 <input type="checkbox"/> 済 <input type="checkbox"/> 未

Handling of Personal Information

1. Purpose of Use

When utilizing services of SBI Art Auction Co., Ltd. ("SBI"), the client's personal information shall be used for the following purposes. Client permission shall be obtained before using personal information for any other reason.

- Trading, brokering, or mediation of SBI products
- Sponsoring auctions related to SBI products
- Conducting appraisals of art, etc. held by client
- Conducting other business entrusted to SBI by client
- Proper managing of client credit for new or ongoing transactions
- Sending SBI newsletters or other e-mails, etc. desired by client
- Providing information on services and products provided by SBI group companies or other affiliated companies
- Responding to opinions, inquiries, etc. of client
- Analyzing trends and purchase history of client regarding SBI products
- Investigating and accessing analysis of SBI homepage ease-of-use and use cases
- Recruitment activities

2. Shared Use

SBI is entitled to allow others to use personal information subject to the conditions below.

- 1) Entities using personal information as shared users
SBI Holdings Co., Ltd. consolidated subsidiaries and holding companies ("SBI Group Companies"), and parent company SBI Artfolio Co., Ltd.
- 2) Items of personal information for shared use
 - a. Name, address, date of birth, telephone number, e-mail address, information related to transactional requirements, public information, or other items regarding client characteristics
 - b. Transaction history, types of products and services transacted, contract dates and amounts, balances, payment dates, details, or other information regarding client transactions
 - c. Customer number, transaction number, or other information used to manage account, as well as information used to manage transactions with client
- 3) Purpose of shared use
 - a. Understanding and management of various risks required for SBI Group Companies' business
 - b. Drafting proposals or providing information on various products and services by SBI Group Companies
 - c. Determination of transactions by SBI Group Companies such as evaluation of client credit, management of credit, and collection of debt
 - d. Planning and development of products and services by SBI Group Companies
- 4) Name of entity responsible for managing personal information:
SBI Art Auction Co., Ltd.

3. Outsourcing

SBI may choose to outsource certain operations to any third party. When doing so, SBI shall outsource to third parties that have installed requisite protection measures for handling client's personal information, according to the regulations stipulated by the "Act on the Protection of Personal Information" (Act No. 57, 2003, later revised, and hereinafter referred to as "Personal Information Protection Act"), Article 23 Paragraph 4, Number 1.

4. Management and Storage of Personal Information

Personal information shall be strictly managed. If the handling of such information is outsourced to another company, SBI shall manage and monitor the outsourcing company to confirm that such company has management infrastructure equal to or greater than that of SBI, and is providing appropriate management.

5. Disclosures, Corrections, Additions, and Deletions

With regard to the personal information held by SBI, when a client makes a request

for disclosure of his/her own personal information, SBI shall disclose such information without delay, after confirming the identity of the client making the request. In the event of a possibility of damage to life, limb, assets, or other rights and interests of the client or a third party, or in the event of a possibility of a significant hindrance to the execution of SBI business, or in the event of a breach of laws or regulations, SBI may choose not to disclose all or a portion of information. With regard to the personal information held by SBI, when the client desires to correct, add, or delete incorrect client information, SBI shall make such corrections, additions, or deletions to the extent necessary, after confirming the identity of the person making the request. In the event SBI halts use or deletes client information in whole or in part, SBI may be rendered unable to provide services desired by the client.

6. Lack of Consent to Terms

SBI only obtains personal information from the client that is required to conduct business, and such information enables SBI to provide services. In cases where the client does not consent to all or some of the Personal Information Protection Policy or to SBI handling personal information, SBI may not be able to provide a satisfactory level of service, or any service, to the client.

7. Third-Party Disclosure and Provision of Personal Information

Excepting any of the following circumstances, SBI shall not disclose or provide personal information of the client to a third party.

1. The client has consented to disclosure;
2. Providing or disclosing statistical or other data through which the client cannot be identified;
3. Disclosure is based on a regulation or ordinance;
4. Obtaining the client's consent in advance is difficult, or when it is necessary to protect person's life, body, or property;
5. Obtaining the client's consent in advance is difficult and is especially necessary to promote the wholesome development of children or the improvement of public health; or
6. Cases in which the handling of personal information is necessary for cooperating with a state organ, a local government, or an individual or a business operator entrusted by either of the former two in executing the affairs prescribed by laws and regulations and in which obtaining the consent of the client is likely to impede the execution of the affairs concerned.

8. Contact Information and Method for Receiving Inquiries Related to Personal Information
Inquiries regarding personal information of a client of SBI are to be received by the following methods. Please understand that there will be occasions when SBI cannot accept disclosure requests and other such requests that are not based on these methods.

Information Desk Procedures

Please make requests by telephone, post, or email to the following points of contact.

<Contact Information>

Management Department, SBI Art Auction Co., Ltd.

TFT Building, East Wing 7F, 3-6-11 Ariake, Koto-ku, Tokyo 135-0063 JAPAN

Telephone: 03-3527-6692 Email: artauction@sbigroup.co.jp

9. Transfer of Business

There are occasions when SBI needs to transfer all or a part of SBI's business, by assignment or transfer, to a third party. The client will serve as the preliminary consenting party regarding this transfer. SBI will use the personal information received from the client to the extent necessary until the ongoing purpose for using such information has been achieved.

This English translation of the original document in Japanese is provided for the convenience of clients; however, in the case of any discrepancy between the English and Japanese versions or any question of interpretation, the Japanese document shall control.

List of Acceptable Identification

The applicant must submit a complete application and one of the following valid photo identifications. Please fax, email or mail the documents to SBI Art Auction. If the document does not contain a photo, please submit two official documents from bellow.

Individual Applicant	<ul style="list-style-type: none"> - Passport with name, photograph and signature - Valid driver's license with name, photograph and signature - National ID with name, photograph and signature - Military ID with name, photograph and signature - Certification of Alien Registration in Japan 	Corporate Applicant	- Business License
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