

Complete **entire** form and fax to: **866-940-7328**. If you have questions, please call 800-310-6826.

Rationale for Exception Request or Prior Authorization - All information must be complete and legible.

Section A - Patient Information

First Name:	Last Name:	Member ID:
Address:		
City:	State:	Zip:
Phone:	DOB:	Allergies:
Primary Insurance:	Policy #:	Group #:

Is the requested medication **new** ☐ or a **continuation of therapy** ☐? If new what is the start date? _____

Is this patient currently hospitalized? ☐ Yes ☐ No

Section B - Physician Information

First Name:	Last Name:	M.D./D.O.	
Address:	City:	State:	Zip:
Phone:	Fax:	NPI #:	Specialty:
Office Contact Name / Fax Attention to:			

Section C - Medical Information

Medication:	Strength:
Directions for use:	Quantity:
Diagnosis (Please be specific & provide as much information as possible):	ICD-10 code:

- Does the drug require a dose titration of either multiple strengths and/or multiple doses per day? ☐ Yes ☐ No
If yes, provide titration schedule: _____
- Is the drug being used for an FDA approved indication: ☐ Yes ☐ No
If no, is its use supported by Official Compendia (AHFS DI®, DRUGDEX®) ☐ Yes ☐ No
- Has the patient experienced treatment failure with a preferred/formulary drug(s) or has the patient experienced an adverse reaction with a preferred/formulary drug(s) in the therapeutic class? ☐ Yes ☐ No
- Is there documented history of successful therapeutic control with a non-preferred/non-formulary drug and transition to a preferred/formulary drug is medically contraindicated? ☐ Yes ☐ No
If yes, please explain: _____
- Does the request require an expedited review? Rationale: _____ ☐ Yes ☐ No
- Attach relevant lab results, tests and diagnostic studies performed that support use of therapy. Check if attached ☐

Explanation of why the preferred medication(s) would not meet your patient's needs:

Other medications tried

Medications	Strength	Directions	Dates of Therapy	Reason for failure / discontinuation

Physician Signature: _____

Date: _____

I attest that this information is accurate and true, and that the supporting documentation is available for review upon request of said plan, the NYSDOH or CMS. I understand that any person who knowingly makes or causes to be made a false record or statement that is material to a Medicaid MC claim may be subject to civil penalties and treble damages under both federal and NYS False Claims Acts.

Instructional Information for Prior Authorization

Upon our review of all required information, you will be contacted by the health plan.

When providing required clinical information, the following elements should be considered within the rationale to support your medical necessity request:

- o Height/Weight
- o Compound ingredients
- o Specific dosage form consideration
- o Drug or Other Related Allergies

Please consider providing the following information as applicable & when available:

- o Healthcare Common Procedure Coding System (HCPCS)⁴
- o Transition of Care Hospital and/or Residential Treatment Facilities Information (contact, phone number, length of stay)
- o Life Situations Information such as foster care transition, homelessness, poly-substance abuse and history of poor medication adherence
- o Patient information (address, phone number)
- o Provider information (direct electronic contact information: e-mail, etc.)

*An expedited review will be considered when a condition exists that places the health or safety of the person afflicted with such condition or other person (s) in serious jeopardy. Expedited review is defined as determination and notification made no greater than three (3) business days from date of request. An emergency 72 hour supply may be requested by the provider in cases where an emergency condition exists as defined above.

https://www.health.ny.gov/health_care/managed_care/docs/medicaid_managed_care_fhp_hiv-snp_model_contract.pdf

This form must be signed by the prescriber but can also be completed by the prescriber or his/her authorized agent. *An authorized agent is an employee of the prescribing practitioner and has access to the patient's medical records (i.e. nurse, medical assistant).* The completed fax form and any supporting documents must be faxed to the proper health plan.

Helpful Definitions

1. **NPI:** A national provider identifier (NPI) is a unique ten-digit identification number required by HIPAA for all health care providers in the United States. <http://www.cms.gov/Regulations-and-Guidance/HIPAA-Administrative-Simplification/NationalProvIdentStand/index.html?redirect=/nationalprovidentstand/>

2. **ICD-10:** The International Classification of Diseases (ICD) is designed to promote international comparability in the collection, processing, classification, and presentation of mortality statistics
<http://www.cdc.gov/nchs/icd.htm>

3. **AHFS Drug Information® (AHFS DI®)** provides evidence-based evaluation of pertinent clinical data concerning drugs, with a focus on assessing the advantages and disadvantages of various therapies, including interpretation of various claims of drug efficacy. <http://www.ahfsdruginformation.com/> **DRUGDEX®** System within the Micromedex product which provides peer-reviewed, evidence-based drug information including investigational & non prescription drugs. <http://www.micromedex.com/>

4. **The HCPCS** is divided into two principal subsystems, referred to as level I and level II of the HCPCS:

- o Level I of the HCPCS is comprised of CPT (Current Procedural Terminology), a numeric coding system maintained by the American Medical Association (AMA). The CPT is a uniform coding system consisting of descriptive terms and identifying codes that are used primarily to identify medical services and procedures furnished by physicians and other health care professionals.
- o Level II of the HCPCS is a standardized coding system that is used primarily to identify products, supplies, and services not included in the CPT codes, such as ambulance services and durable medical equipment, prosthetics, orthotics, and supplies (DMEPOS) when used outside a physician's office.