

FINANCIAL DISCLOSURE FORM



INSTRUCTIONS: Complete the 4 parts below, being sure to follow all printed instructions carefully. If a section does not apply, mark it "N/A". If more room is needed to complete any of the following sections, include an attachment. This form must be signed and notarized in Part 4 by an owner or officer listed with the Department of Business Affairs & Consumer Protection.

► PROVIDE THE FOLLOWING INFORMATION ABOUT THE LEGAL ENTITY APPLYING FOR THE LICENSE(S)

Account # (Business Affairs)	FEIN # (IRS)	IBT # (IL Dept. Rev.)	IL State File # (IL Sec. State)		
	-	-			
Legal Name of Applicant Entity		“ Doing Business as Name ” of establishment			
First Name of Primary Business Contact		Middle Name	Last Name		
Home Street Address of Primary Business Contact		Suite/Apt.	City	State	Zip code
Home Phone ()	Work Phone ()	Cellular Phone ()	Email Address		

► ITEMIZE ALL EXPENSES FOR THE FUNDING OF THE BUSINESS OR OWNERSHIP CHANGE AT THIS LOCATION

Description of Expenses (start-up, expansion, and/or business purchase costs only: construction, renovation, stock purchase, inventory, etc.)	Amount of Expense
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
Total Expenses:	\$

PART 3 FINANCING		► IDENTIFY THE SOURCE(S) OF THE FUNDS USED TO PAY FOR THE EXPENSES LISTED IN PART 2					
a BUSINESS SAVINGS & CHECKING		► Identify any funds from business accounts used to fund Expenses, Part 2					
Account Number	Financial Institution	Date Opened	Signatories on Account	Current Balance		\$ Drawn for Business	
				► \$		► \$	
				► \$		► \$	
				► \$		► \$	
				► \$		► \$	
				► \$		► \$	
Total dollar amount drawn from business accounts:						a	► \$
Description of Source (identify the sources) of the money in the accounts listed above				Contribution Frequency		Contribution Amount	
						► \$	
						► \$	
						► \$	
						► \$	
b PERSONAL SAVINGS & CHECKING		► Identify any funds from personal accounts used to fund Expenses, Part 2					
Account Number	Financial Institution	Date Opened	Signatories on Account	Current Balance		\$ Drawn for Business	
				► \$		► \$	
				► \$		► \$	
				► \$		► \$	
				► \$		► \$	
				► \$		► \$	
Total dollar amount drawn from personal accounts:						b	► \$
Description of Source (identify the sources) of the money in the accounts listed above				Contribution Frequency		Contribution Amount	
						► \$	
						► \$	
						► \$	
						► \$	
c LOANS FROM FINANCIAL INSTITUTIONS		► Identify any loans from financial institutions used to fund Expenses, Part 2					
Account Number	Financial Institution	Loan Date	Loan Term	Cosigners of Loan		Loan Amount	
						► \$	
						► \$	
						► \$	
						► \$	
Total dollar amount loaned by financial institutions:						c	► \$
d LOANS FROM INDIVIDUALS		► Identify any loans from individuals used to fund Expenses, Part 2					
Name of Individual	Loan Date	Source of Funds for Loan		% Investment	Loan Amount		
				%	► \$		
				%	► \$		
				%	► \$		
				%	► \$		
				%	► \$		
Total dollar amount loaned by individuals:						d	► \$

e	SECURITIES	▶ Identify any securities (stocks, bonds, CODs, etc.) sold to fund Expenses, Part 2				
Name of Security	Buy Date	Sell Date	# of shares	Price	Ticker	Amount Invested
						▶ \$
						▶ \$
						▶ \$
						▶ \$

Total dollar amount drawn from the sale of securities:						e	▶ \$
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f	GIFTS FROM INDIVIDUALS	▶ Identify any gifts from individuals used to fund Expenses, Part 2				
Name of Giver	Date of Gift	Source of Funds for Gift	% Investment	Amount		
			%	▶ \$		
			%	▶ \$		
			%	▶ \$		
			%	▶ \$		

Total financing from gifts:				f	▶ \$
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g	GIFTS/GRANTS FROM INSTITUTIONS	▶ Identify any gifts and/or grants from institutions used to fund Expenses, Part 2			
Institution	Address (Street, City, State)	Contact Name & Phone	Grant Date	Amount Gifted	
				▶ \$	
				▶ \$	
				▶ \$	
				▶ \$	

Total money received from institutional gifts and/or grants:				g	▶ \$
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h	OTHER FINANCING	▶ Identify any other financing (credit cards, etc.) used to fund Expenses, Part 2	
Description of Financing	Amount Financed		
	▶ \$		
	▶ \$		
	▶ \$		

Total money drawn from other financing:		h	▶ \$
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=	FINANCING TOTALS	▶ Sub-total all funds (sections a-h) used to fund Parts 2			
Business Accounts	A	▶ \$	Gifts from Individuals	f	▶ \$
Personal Accounts	b	▶ \$	Gifts/Grants from Institutions	g	▶ \$
Loans from Financial Institutions	c	▶ \$	Other Financing	h	▶ \$
Loans from Individuals	d	▶ \$	TOTAL BUSINESS FINANCING (a-h)*	=	▶ \$
Securities	e	▶ \$	* should be equal to or greater than total amount of expenses listed in part 2		

PART 4	ACKNOWLEDGEMENT	▶ REVIEW THE FOLLOWING STATEMENT AND SIGN YOUR ACKNOWLEDGEMENT BELOW	
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I hereby certify, under penalty of perjury, that I am authorized to execute this form and that all information I have provided on this form is complete, true, and, correct. I certify that I understand that all information provided on this Financial Disclosure Form will be corroborated. The City of Chicago reserves the right to request any and all documentation it determines necessary to perform this verification. I and/or my Applicant will have three business days to meet such requests, and failure to do so may result in a disapproved or suspended license application. I understand and accept that any falsification or purposely holding back of this information is grounds for recalling the license(s) issued.

Signature of Applicant _____ Date _____
 Subscribed and sworn to before me this _____ Day of _____ 20____

Notary Public in and for said County and State _____ (PLACE SEAL HERE)