

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF PENNSYLVANIA

V.

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:

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:

:

Civil Action

No: _____

DISCLOSURE STATEMENT FORM

Please check one box:

- ☐ The nongovernmental corporate party, _____, in the above listed civil action does not have any parent corporation and publicly held corporation that owns 10% or more of its stock.
- ☐ The nongovernmental corporate party, _____, in the above listed civil action has the following parent corporation(s) and publicly held corporation(s) that owns 10% or more of its stock:

Date

Signature

Counsel for: _____

Federal Rule of Civil Procedure 7.1 Disclosure Statement

- (a) WHO MUST FILE; CONTENTS. A nongovernmental corporate party must file two copies of a disclosure statement that:
- (1) identifies any parent corporation and any publicly held corporation owning 10% or more of its stock; or
 - (2) states that there is no such corporation.
- (b) TIME TO FILE; SUPPLEMENTAL FILING. A party must:
- (1) file the disclosure statement with its first appearance, pleading, petition, motion, response, or other request addressed to the court; and
 - (2) promptly file a supplemental statement if any required information changes.

**UNITED STATE DISTRICT COURT
EASTERN DISTRICT OF PENNSYLVANIA**

USA	:	Criminal Action
	:	
	:	
v.	:	
	:	
	:	No.

DISCLOSURE STATEMENT FORM

Please check one box:

☐ The nongovernmental corporate party, _____, in the above listed criminal action does not have any parent corporation and publicly held corporation that owns 10% or more of its stock.

☐ The nongovernmental corporate party, _____, in the above listed criminal action has the following parent corporation(s) and publicly held corporation(s) that owns 10% or more of its stock:

Date

Signature

Counsel for: _____

Federal Rule of Criminal Procedure 12.4 Disclosure Statement

(a) **WHO MUST FILE.**

- (1) **NONGOVERNMENTAL CORPORATE PARTY.** Any nongovernmental corporate party to a proceeding in a district court must file a statement that identifies any parent corporation and any publicly held corporation that owns 10% or more of its stock or states that there is no such corporation.
- (2) **ORGANIZATIONAL VICTIM.** If an organization is a victim of the alleged criminal activity, the government must file a statement identifying the victim. If the organizational victim is a corporation, the statement must also disclose the information required by Rule 12.4(a)(1) to the extent that it can be obtained through due diligence.

(b) **TIME FOR FILING; SUPPLEMENTAL FILING.** A party must:

- (1) file the Rule 12.4(a) statement upon the defendant's initial appearance; and
- (2) promptly file a supplemental statement upon any change in the information that the statement requires.